NHERI@UTexas
Safety Plan
Version 2.1

July, 2016

Prepared by:
NHERI@UTexas Management Team

University of Texas at Austin
Department of Civil, Architectural and Environmental Engineering
301 East Dean Keeton, ECJ 9.227
Austin, TX 78712

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Approved by: Kenneth H. Stokoe, II
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1. ADMINISTRATIVE

This safety handbook was developed for the training of personnel involved in facility and field work of the Natural Hazards Engineering Research Infrastructure (NHERI) Equipment Facility at the University of Texas at Austin (NHERI@UTexas).

1.1 MANAGEMENT STATEMENT OF SAFETY POLICY

As one of the Equipment Facilities comprising the Natural Hazards Engineering Research Infrastructure (NHERI), NHERI@UTexas offers research capabilities unique among its peers. Our primary research methodology incorporates the use of large-scale mobile seismic sources, or shaker trucks, in the field at research sites across the country. Transportation, operation and maintenance of this industrial equipment in a research setting present numerous challenges.

A typical NHERI@UTexas project is the culmination of intensive preparation over the course of months or sometimes years. Funding, organization, scheduling and myriad logistical issues can define a strictly limited window of opportunity for successful completion of the project. On-site testing can involve long hours in stressful conditions for personnel and equipment. Inclement weather, mechanical failure and a host of other unforeseeable obstacles require strict standards of perseverance and professional conduct to overcome. Project operations are public and often highly visible; as a publicly-funded research organization, we must present a considered, accessible and professional image to the communities we visit.

The many challenging conditions and unique cases presented by NHERI@UTexas research must be managed in a safe and effective manner if we are able to fulfill NHERI research goals. Any incident involving equipment damage, property damage or personnel injury or loss of life can prevent project completion; affect community goodwill; interfere with our ability to conduct research as an organization; and damage the image and reputations of the researchers, personnel and institutions involved. The fundamental operating principle for an organization capable of fulfilling our difficult mission is an uncompromising commitment to safety.

The policies and procedures detailed in this document represent not only applicable federal and local legal requirements for workplace safety, but also the collective experience and understanding of our diligent team of professionals as relating to the maintenance of a safe and effective research environment. Personnel and other participants in NHERI@UTexas operations are expected to maintain familiarity with this material, to abide by its specific policies, and to apply our central doctrine of safety to every field of work-related activity, at all times.

NHERI@UTexas MANAGEMENT TEAM
1.2 TERMINOLOGY

EQUIPMENT FACILITY (EF): The NHERI@UTexas conceptual entity, and the offices and permanent facilities of NHERI@UTexas. These include but may not be limited to offices in the Cockrell School of Engineering building at the University of Texas at Austin main campus, and the Building 46 storage and maintenance facility at the University of Texas J.J. Pickle Research Campus (PRC).

PERSONNEL: Employees, management, principal investigators, and other parties associated with NHERI@UTexas on a permanent basis.

GROUP: General combination term for the NHERI@UTexas site and personnel.

PARTICIPANTS: Anyone participating in a NHERI@UTexas field project or preparations therefor, including NHERI@UTexas personnel; scientists, students and personnel from participating organizations; University of Texas employees; and any other party.

FIELD and/or SITE: NHERI@UTexas research sites. These may be situated on the physical University of Texas campus, or at a remote location off University Property.

1.3 ACCIDENT RECORDKEEPING

All accidents or injuries are to be reported to the Chief Engineer for immediate response and treatment. The Chief Engineer takes the following recordkeeping actions:

1. Immediately informs the Operations Manager via any available means.

2. Completes and submits an Accident Report Form [Form UTNHERI.AR2016] to both the Operations Manager and Group HR Manager via email or fax as soon as possible within 24 hours along with any available related documentation detailing treatment, hospital admission, property damage, etc.

Copies of the Accident Report Form are kept on file in Building 46 and in the First Aid kits located in each NHERI@UTexas vehicle, as confirmed during pre-project preparation and periodic safety inspections.

The Group HR Manager must file an Employer's First Report of Injury (DWC-1 form) through the University of Texas system. The form can be found at the following link:

https://www.utsystem.edu/documents/docs/forms/dwc-1-employers-first-notice-injury-form

Accident documentation should be kept on file for the duration of the NHERI site's
existence to aid in site safety reviews and the identification of accident trends.

### 1.4 ACCIDENT INVESTIGATION AND ANALYSIS

In the event any incident occurs relating to NHERI@UTexas operations involving property or equipment damage; or injury, loss of life, or other medical emergency, the Chief engineer and Chief Scientist will conduct an immediate field review of operating conditions and safety procedures and will effect any necessary changes to prevent recurrence of the incident and limit secondary effects, in consultation with the Operations Manager if possible. Details of the review must be documented in an Accident Causal Analysis Worksheet [Form UTNHERI.AA2016] (kept on file in Building 46 and provided in every field project documentation packet) and in written reports. This documentation is to be forwarded to the Operations Manager as soon as possible for review and archiving.

Subsequently, the Operations Manager will perform an accident investigation documented in an Accident Investigation Report Form [UTNHERI.AI2016] to make a final determination of causal factors pertaining to the accident and corrective action to be taken.

The Operations Manager will then conduct a site-wide procedural review for the purpose of updating operating practices and safety policy to reflect knowledge gained in the incident and to limit or eliminate future likelihood of occurrence. The Operations Manager will immediately promulgate these updates to all NHERI@UTexas personnel in the form of email bulletins and a revised safety manual, and undertake safety retraining sessions for each NHERI@UTexas participant at the earliest available opportunity.

The Operations Manager conducts a review of documented accident incidents at the end of each fiscal year to identify historical accident trends and modify safety procedures and personnel training as appropriate.

Documentation from the investigation, review and update procedures is retained on file by the Operations Manager for the duration of the NHERI Equipment Facility's existence.

### 1.5 EMERGENCY PLAN

The University of Texas Office of Campus Safety and Security has developed extensive emergency planning for a variety of scenarios, available at [http://www.utexas.edu/safety/plans/](http://www.utexas.edu/safety/plans/). These plans address the following topics:

- **Emergency Management Plan**: a step-by-step guide explaining the university’s official course of action in the event of fires, evacuation, terrorism, threats of
violence, transportation accidents, and others. The plan contains information about the different levels of emergencies and outlines the corresponding roles of different university departments.

- **Infectious Disease Plan:** a step-by-step guide explaining the university’s official course of action in the event of a pandemic outbreak. The plan contains information about the different levels of a pandemic and outlines the corresponding roles of different university departments.

- **Severe Weather and Hurricane Plan:** a step-by-step guide explaining the university’s official course of action in the event of flash flooding, lightning, hail, tornadoes, high temperatures, strong winds, frozen precipitation, cyclones, and cold temperatures. The plan contains information about the different levels of severe weather and outlines the corresponding roles of different university departments.

- **Building Emergency Plan:** a customized emergency plan for each building on campus. The plan is important because certain emergency conditions may present the need to evacuate a building or to seek shelter in buildings. Pre-planning and rehearsal are effective ways to ensure that building occupants recognize the evacuation alarm, the outdoor warning system and other emergency communications, and know how to respond. NHERI@UTexas office Building Emergency Plans are available at the following links:
  - **Cockrell School of Engineering (ECJ):**
    The ECJ evacuation plan is not publicly available for security reasons. New employees are given a personal orientation detailing ECJ safety and evacuation procedures. Contact your supervisor for more information.

These plans represent official NHERI@UTexas policy concerning disaster planning, emergency preparedness and response. All NHERI@UTexas personnel are required to review and familiarize themselves with this material.

**Building 46 Emergency Evacuation Plan**

NHERI@UTexas occupies the south half of PRC Building 46 as an equipment storage area. The main entrance is located on the southeast corner of Building 46. The main entrance (walk through door) is also the Emergency Exit for the south half of Building 46. In case of fire or other type of emergency all personnel in the south half of Building 46 should exit the building through the emergency exit door and meet across Neils Thompson Drive on the east side of the building.

To evacuate by vehicle from the Pickle Research Campus (PRC) at Building 46 to the North, use the following route (preferred):
1. North on Neils Thompson Drive
2. East on Read Granberry Trail (Right turn)
3. North on Road “A” (Left turn)
4. Exit PRC onto Braker Lane.

To evacuate to the East, use the following route:

1. South on Neils Thompson Drive
2. East on Road “D” (Left turn)
3. North on Harry Ransom Trail (Left turn)
4. East on Read Granberry Trail (Right turn)
5. Exit PRC onto Burnet Road.

FIGURE 1: BUILDING 46 EMERGENCY EXIT FLOORPLAN

1.6 EMPLOYEE PARTICIPATION

All NHERI@UTexas personnel are required to read this safety manual, and review the
safety standards and publications cited herein as a condition of employment. All personnel are involved in safety policy creation via regular employee meetings and the feedback process of daily operations.

1.7 JOB HAZARD ANALYSIS

A hazard is the potential for harm. In practical terms, a hazard often is associated with a condition or activity that, if left uncontrolled, can result in an injury or illness. Identifying hazards and eliminating or controlling them as early as possible will help prevent injuries and illnesses. Job hazard analysis is a technique that focuses on job tasks as a way to identify hazards before they occur. It focuses on the relationship between the worker, the task, the tools, and the work environment. Ideally, after you identify uncontrolled hazards, you will take steps to eliminate or reduce them to an acceptable risk level.

The Occupational Health & Safety Administration (OSHA) publication 3071 addresses job hazard analysis for employees, supervisors and management. A copy of this document can be found at http://www.osha.gov/Publications/osha3071.pdf.

NHERI@UTexas administrative personnel have performed a Job Hazard Analysis identifying common field and shop tasks, attendant safety hazards, and appropriate action to mitigate such hazards; the results inform practices and procedures throughout this safety manual. Documentation from the Analysis is maintained on file by the Operations Manager, and is available for employee review and input. A list of specific hazards is provided below for personnel familiarity. The hazards detailed must be communicated to all persons participating in the following tasks.
<table>
<thead>
<tr>
<th>Job Task</th>
<th>Task Hazards</th>
<th>Preventative Actions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Moving instrumentation trailer onto test area</td>
<td>- Trip hazards</td>
<td>Assess area and choose level area upwind from other equipment if possible to park trailer.</td>
</tr>
<tr>
<td></td>
<td>- Uneven ground</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Exhaust fumes from generator</td>
<td></td>
</tr>
<tr>
<td>Off-loading equipment at test area</td>
<td>- Heavy objects</td>
<td>Use lifting belts and avoid lifting heavy objects. Use dollies when possible. Do not place boxes or objects where someone can trip over them.</td>
</tr>
<tr>
<td></td>
<td>- Pinch points</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Trip and fall hazards</td>
<td></td>
</tr>
<tr>
<td>Moving shaker truck into position for testing</td>
<td>Contact damage and overhead lines</td>
<td>Survey area first, then minimize backing and have backup and overhead supervision when moving shaker truck.</td>
</tr>
<tr>
<td>Noise assessment</td>
<td>Excessive noise in area where other workers may be present</td>
<td>Position shaker truck to direct most noise away from other workers.</td>
</tr>
<tr>
<td>Laying out line cables</td>
<td>Trip hazards, damage to cables</td>
<td>Position cables where there is the least amount of foot and vehicle traffic to minimize trip hazards and damage to cables.</td>
</tr>
<tr>
<td>Conduct testing</td>
<td>Unauthorized persons entering area where shaker truck is operating</td>
<td>Zone off area where shaker truck is operating with cones or warning tape.</td>
</tr>
<tr>
<td>Monitoring equipment while testing</td>
<td>Potential leaks or overheating of equipment causing bodily harm to a person or damage to the environment</td>
<td>Constantly monitor shaker truck and equipment for leaking hoses or excessive heat on or under equipment.</td>
</tr>
<tr>
<td>Completion of testing and leaving area</td>
<td>Injury to personnel or damage to equipment or environment</td>
<td>Be aware of trip hazards when picking up and loading equipment and have supervision when moving vehicles; remove all litter</td>
</tr>
</tbody>
</table>

1.8 OSHA ACTION PLAN

As an educational organization, NHERI@UTexas is not subject to OSHA workplace regulations. However, familiarity with OSHA regulations and material is strongly recommended for promotion of workplace safety. In the interests of maximal project efficiency and a safe working environment, NHERI@UTexas facilities are maintained in a state of readiness which is suitable for OSHA inspection.
1.9 REMEDIAL ACTION

When safety issues are raised, the Operations Manager or Chief Engineer perform a root analysis of each issue addressing the following points:

1. Consider potential severity of loss.
2. Evaluate the probability of a loss occurrence.
3. Weight various control alternatives and decide on the best one.
4. Assess the likely degree of control to be achieved.
5. Determine the cost of control.
6. Justify the recommended control if major expenditures are involved.

These points inform appropriate safety policy revisions which are immediately disseminated to all personnel via email or in-person tailgate meetings. Follow-up actions are then scheduled as follows:

1. Issue work orders for immediate correction of the safety issue if this can be done without major expenditure.
2. Monitor the budgeting of resources via purchasing and provisioning feedback.
3. Ensure that remedial action is taken in a timely fashion by adjusting workload prioritization.
4. Monitor activity progress via in-person, phone or email requests for feedback.
5. Check the effectiveness of implemented controls. Are people better protected now than they were before?
6. Give ample credit where credit is due. Safety is a prime concern for all personnel; individual recognition promotes awareness of this principle.

Immediate feedback is expected from actions taken to effect the revised policy, and a follow-up review scheduled within the same work week to gauge policy effectiveness and to formulate and prioritize any further required corrective action.
1.10 SAFETY RULES AND ENFORCEMENT

Specific safety rules and procedures have been developed for each of the three NHERI@UTexas shaker trucks. For this material, personnel should review Section 2.3: SPECIAL HAZARDS OF NHERI@UTexas EQUIPMENT.

All NHERI@UTexas personnel monitor each others' actions as relevant to this document and professional standards of safe conduct. Unsafe conditions or behavior are to be immediately reported to the Chief Engineer or Operations Manager. Any willful violation of NHERI@UTexas safety rules or refusal to follow the directions of NHERI@UTexas management personnel will result in an immediate suspension of the right to work within the facility. Disciplinary action is taken according to University of Texas at Austin standards for safe employee conduct and prescription of punitive measures for violation of said standards.

1.11 SAFETY SELF-AUDITS

NHERI@UTexas is a small organization and operates on a lateral management structure keyed on collaborative work and peer review. The Operations Manager, Chief Engineer and all other personnel are typically in contact on a daily basis. The topic of safety is explicitly maintained in daily operational discussion. Information relating to safety is quickly passed throughout the organization and to Operations Management, and, conversely, safety policy and management judgment calls are quickly disseminated from the top down to all employees.

1.12 SAFETY SELF-INSPECTIONS

Each employee is responsible for conducting a safety inspection of their associated facilities, work and office areas on at least a monthly basis and on a frequent basis at appropriate intervals during project preparation, execution and debriefing. Issues noted during these inspections are corrected with a briefing of the corrective action made to the Operations Manager for integration into global safety policy.

All new employees will be informed by the Chief Engineer on proper safety practices and daily vehicle and equipment usage checklists.

At the start of any field project, the Chief Scientist and/or Chief Engineer will conduct a field safety meeting with all personnel involved in field work. After completion of the field safety meeting, personnel shall acknowledge that they were present for the briefing and agree to comply with all safety procedures. A Field Safety Survey and Acknowledgement Form [UTNHERI.SS2016] will be signed by all personnel in attendance and a copy maintained by the Chief Scientist and/or Chief Engineer.
During field operations the Chief Scientist and/or Chief Engineer will conduct a daily "tailgate meeting" safety briefing with all personnel involved in field work. New safety precautions and recommendations will be addressed at this time; all personnel should provide feedback, recommendations and address any concerns or questions they may have. A Tailgate Safety Meeting Form [UTNHERI.TM2016] will be signed by all personnel in attendance and a copy maintained by the Chief Scientist and/or Chief Engineer.

Subsequent to any error in judgment, accident, equipment failure, or other unforeseen event recognized as likely to impact the shop or field test schedule, logistics, or site safety, the Chief Scientist and/or Chief Engineer will call an immediate cessation of work and hold a tailgate meeting with all personnel present to determine the corrective action required, and to modify the testing schedule accordingly. A safe and deliberate workflow must always take precedence over schedule concerns.

All personnel are responsible for facility and site safety. If you see something that does not look safe, inform the Chief Scientist and/or Chief Engineer on duty immediately. Never make assumptions regarding safety; other people may not be aware that something is wrong or that they are being unsafe. It is everyone’s responsibility to make safety a priority and to ensure that all safety practices are being adhered to.

1.12.1 Vehicle Self-Inspections

Prior to operating any UT vehicle, a daily inspection shall be conducted according to the following criteria:

1. Mileage log with credit card, insurance paperwork and Accident Report Form [UTNHERI.AR2016] present
2. Fuel level sufficient for day’s activity
3. Visual inspection of tire condition and pressure
4. Headlight and taillight test
5. Trailer light test (if present)
6. Trailer air line inspection (if present and applicable)
7. Isolation bag visual inspection and pressure check (if applicable)
8. Oil reservoir check (if applicable)

Any problems observed in the vehicle inspection will be entered into the vehicle’s logbook. The operator of the vehicle is responsible for communicating the problem to the Chief Engineer or Operations Manager and either taking appropriate corrective action to
return the vehicle to a safe operating condition, or halting use of the vehicle until sufficient corrective action has been taken.

A checklist for the above criteria is available in form UTNHERI.VD2016.

Prior to the start of any long-distance trip of greater than 50 miles, a pre-trip inspection shall be conducted according to the following criteria. Failure to pass the pre-trip inspection is handled as with the daily vehicle inspection.

1. Mileage log with credit card, insurance paperwork and Accident Report Form [UTNHERI.AR2016] present
2. Fire extinguisher present and charged
3. Jack and spare tire present and in working condition
4. Fuel level sufficient for day’s activity
5. Oil level good
6. Coolant level good
7. Power steering fluid level good
8. Belts and hoses in good condition
9. Visual inspection of tire condition, tire pressure test
10. Headlight, taillight, turn indicator and license plate light test
11. Windshield wipers in good condition
12. Horn functional
13. Trailer light test (if present)
14. Trailer air line inspection (if present and applicable)
15. Isolation bag visual inspection and pressure check (if applicable)
16. Oil reservoir check (if applicable)

A checklist for the above criteria is available in form UTNHERI.VT2016.

All NHERI@UTexas road vehicles receive preventative maintenance and a safety inspection performed by the University of Texas Parking and Transportation Services
1.13 SAFETY STAFFING

Safety staffing roles are defined in section 2.2.2: RESPONSIBILITY IN THE FIELD. The Operations Manager is the ultimate authority on matters of field and facility safety.

2. FIELD AND WORK SAFETY

2.1 GENERAL VEHICLE SAFETY

The University of Texas has in place guidelines for general vehicle safety and driver certification. NHERI@UTexas vehicles, the instrumentation van, T-Rex, Liquidator, Raptor, Rattler, Thumper, Freightliner, and any rental vehicles are covered by these guidelines, consisting of the University of Texas System Business Procedures Memorandum 160502 (Automobile Insurance Coverage for Officers and Employees and General Requirements for the Use of Vehicles) and the University of Texas at Austin vehicle fleet management plan. Copies of these two guidelines are presented in Appendices A and B, respectively. Under these guidelines, NHERI vehicles must be operated exclusively by UT employees.

2.1.1 CELLPHONE USE IN UNIVERSITY VEHICLES

NHERI@UTexas and The University of Texas at Austin strongly discourage cellphone use by UT drivers at all times while operating University vehicles or otherwise driving for University business. Additionally, the following federal, state, and city laws govern cellphone use while driving:

- The U.S. Department of Transportation has issued a final rule making it a violation of federal law, subject to a $2,750 fine, for commercial truck drivers to talk on a hand-held cellphone while their vehicle is in motion. Text messaging is prohibited as well. A commercial truck is defined as a vehicle or combination of vehicles over 26,000 lbs GVWR; this applies to the NHERI big-rig, Raptor, and Rattler. Hands-free mobile phones remain legal.
- The State of Texas prohibits cellphone use, including text messaging, by drivers in school crossing zones.
- The City of Austin prohibits the use of all electronic hand-held devices while operating a vehicle.
The above summary should not be considered comprehensive; NHERI@UTexas drivers are expected to maintain familiarity and compliance with current laws and regulations governing cellphone use in UT vehicles.

2.2 GENERAL FIELD AND WORK SAFETY

To maintain a safe environment in field conditions, the following elements will be in place:

2.2.1 SAFETY PREPARATION BEFORE FIELD TESTS

The Principal Investigator of the user team must perform a survey of the proposed test site to identify all potential hazards of the site and the potential hazards that may be caused by the shaker before field tests, and provide the NHERI@UTexas Project Management Team (PMT) with a description of planned experiments one month before testing commences. The NHERI@UTexas PMT will review the planned experiments to assess hazards that may compromise the safety of NHERI@UTexas personnel and equipment, and may give suggestions accordingly. However, the responsibility for the design of the experiment with regards to safety of the test site and structures in and near by the test site rests on the user, and the Principal Investigator of the user team is responsible for obtaining permits for site access and site liability insurance.

2.2.2 RESPONSIBILITY IN THE FIELD

Each individual participating in the field work is responsible for their own safety and the safety of the people who work around them. Do not do or ask anyone else to do anything that is not safe.

In addition, each field experiment will have two leaders, a Chief Engineer, and a Chief Scientist. The Chief Engineer will be a member of the NHERI@UTexas team, and will be an authority on the operation of all NHERI@UTexas vehicles and equipment. The Chief Scientist is one of the users, who may be the project Principal Investigator of the user team or a person whom is authorized by the project Principal Investigator of the user team to make decisions on site concerning the experiment to be performed.

It is the Chief Scientist's responsibility in the field to ensure the safety of the test site, and response to disaster according to the site specific disaster kit prepared by the Principal Investigator of the user team. The Chief Scientist should participated in the site survey with the Principal Investigator, and be able to identify all potential hazards of the site and those which may be caused by the shaker at any selected shake points. Selected shake
points should avoid and/or be kept a safe distance from structures both above and below ground. The T-Rex, Liquidator, Raptor, and Rattler shakers will normally be used only in open areas. If these shakers are being operated within 100 ft of any structures, the users should perform a pre-vibration survey and monitor the ground near the structures to ensure the vibrations close to the structures are kept below 0.1 in./sec. If vibration-sensitive structures (especially inhabited buildings) are within 50 ft of the shake point, users must consult the owner for permission to shake and are strongly encouraged to perform pre-construction and post-construction photo surveys of these structures. In addition, the ground next the structure should be monitored to be sure that the peak particle velocities do not exceed 0.1 in./sec.

As a frame of reference, the ground motions measured at the Pickle Research Center of UT with T-Rex shaking at 100% driving signal (full vertical force) are shown in Figure 2. In this case, T-Rex was excited at steady-state shaking using a downward, stepped-sine function. As seen in Figure 2, the particle velocities at 100 ft away from T-Rex were well below 0.1 in./sec. at all frequencies. Even at a distance of 30 ft, the peak particle velocities were less than 0.1 in./sec. at frequencies below about 35 Hz.

![Figure 2](image_url)

**FIGURE 2** – Vertical particle velocity measured at 30 ft and 100 ft away from T-Rex with 100% driving signal; Measurements performed at steady-state vibration (using stepped sine function provided by the Data Physics Analyzer)

The Chief Scientist should also ensure a way of communication (e.g. cellular phone or satellite phone) in the field for emergency contact at all time.
The Chief Engineer is responsible for the safety of all NHERI@UTexas personnel and equipment, and has final authority concerning operation of any NHERI@UTexas equipment.

2.2.3 GENERAL FIELD HAZARDS STATEMENT

Every NHERI@UTexas member participated in a field experiment is required to read and acknowledge a statement describing general field hazards, and provide emergency contact information to the Chief Engineer. A sample version of this is in Appendix C. Similar forms are suggested for the non NHERI@UTexas personnel and are to be collected by the Chief Scientist.

2.2.4 MEDICAL INSURANCE

Every NHERI@UTexas member in a field experiment is required to obtain, at his or her own expense, suitable medical insurance. The Chief Engineer will maintain an on-site log of insurance information and emergency contacts for NHERI@UTexas members. Similar requirements are suggested for non-UT personnel and pertinent information is to be collected by the Chief Scientist.

2.3 SPECIAL HAZARDS OF NHERI@UTexas EQUIPMENT

The hydraulic vibrators of NHERI@UTexas operate with pressures up to 4,000psi, and can output a ground force as high as 60,000 lbs, requiring special consideration for safety. Because the NHERI@UTexas vibrators are similar or identical to those used in the petroleum industry, there is a well established set of safety procedures based upon over 40 years of industry experience. "General Safety Information and Procedures", provided by the manufacturer, Industrial Vehicles International Inc., is shown in Appendix D. All UT personnel who will operate NHERI@UTexas shakers are required to read and follow the procedures shown in Appendix D. It is the responsibility of the Chief Engineer to ensure that all procedures in Appendix D are followed in the field.

The Chief Scientist and all other participants who are not members of the NHERI@UTexas group and will work within a 100 ft radius of the shaker trucks in a field experiment must attend a 20-minute on-site safety training session prior to the start of the field experiment. The on-site safety training session will be conducted by the Chief Engineer to address safety issues related to the NHERI@UTexas hydraulic shakers. Topics covered in the on-site safety training session are shown in Appendix E. All trainees are required to read and sign the On-Site Shaker Safety Training statement before field work can be started. The Chief Engineer must keep the copy of the signed
statements from all trainees, and the Chief Scientist is responsible for ensuring that anyone who works within a 100ft radius of the shaker has been trained.

### 2.3.1 Safety Rules for working around T-Rex

T-Rex is a large Tri-Axial articulated mobile shaker vehicle. Extra caution should be taken when working around T-Rex as there are several features that are unique to T-Rex and need to be pointed out.

- **It is very important to be aware that when the engine on T-Rex is at idle speed there is 3,000 PSI of hydraulic pressure in the high side of the hydraulic system. In most cases on other shaker units there is only 250 PSI of hydraulic pressure on low side of the hydraulic system and near zero on the high side when the engine is idling until the engine is brought up to operating speed and the system is pressured up.**

- **T-Rex has two mass position sensors mounted on the baseplate that control the position of the shearwave actuators. One sensor is mounted on the front of the baseplate for the longitudinal position and the other sensor is mounted on the passenger side to control the transverse position of the actuator. These sensors transmit sound waves out to a flat surface on the actuator to control the position of the actuator in the shearwave mode. Any interference of these sound waves will cause a violent reaction of the actuator potentially causing bodily injury or damage to the unit.**

- **The hydraulic CPT ram at the rear of T-Rex poses severe pinching and crushing hazards at both the top and bottom of the ram, rod adapter, and rod assembly. Always keep both hands in sight when operating the ram. Keep hands free of the top of the rod adapter when the ram is ascending, and the bottom of the adapter and/or rod assembly when the ram is descending. Do not place hands inside the marked area under the ram cylinder base. The ram cylinder base presents an overhead obstacle; hardhats must be worn when manipulating the CPT assembly.**

**NEVER** attempt to operate T-Rex unless you have proper training by an approved instructor.

**ALWAYS** use eye and hearing protection within 30 feet of T-Rex. Hearing protection is not required inside of the cab if the windows and doors are completely closed. The highest measured noise levels in the cab are below 70db when the windows and doors are closed and the engine is at operating speed.

**NEVER** work on T-Rex when the engine is running. Turn off electronics, shutdown engine and turn off battery switches before working on T-Rex. Check high and low hydraulic pressure gauges to be sure there is no hydraulic pressure on the system before
repairs or adjustments.

**ALWAYS** use grab handles and steps when climbing into the cab or climbing onto the back of T-Rex around the engine area. **NEVER** jump off of T-Rex. Jumping even short distances can cause severe bodily injury. **USE** the grab handles and steps to get off of or out of the cab of T-Rex.

**ALWAYS** approach T-Rex from the front of the vehicle to get the operator’s attention. See Figure 3 for safe approach area.

**NEVER** assume the operator is aware of your presence around T-Rex. Exchange some type of hand signals to be sure that the operator acknowledges your presence around T-Rex.

**ALWAYS** keep away from the driver’s side of T-Rex. This is the side that most of the high pressure components are located.

**ALWAYS** keep away from the articulated area of T-Rex and pinch point areas of the baseplate and lift assembly.

**ALWAYS** keep away from the exhaust area to prevent burns and be aware that hoses and piping can be hotspots due to the temperature of the oil in the hydraulic system.

**NEVER** allow dry material such as leaves or brush to accumulate on T-Rex to prevent the possibility of fire on the vehicle.

### 2.3.2 Safety Rules for working around Liquidator

Liquidator is a large low frequency mobile shaker vehicle. Extra caution should be taken when working around Liquidator.

**NEVER** attempt to operate Liquidator unless you have proper training by an approved instructor.

**ALWAYS** use eye and hearing protection within 30 feet of Liquidator.

**NEVER** work on Liquidator when the engine is running. Turn off electronics, shut down engine and turn off battery switches before working on Liquidator. Check high and low hydraulic pressure gauges to be sure there is no hydraulic pressure on the system before repairs or adjustments.

**ALWAYS** use grab handles and steps when climbing into the cab or climbing onto the back of Liquidator around the engine area. **NEVER** jump off of Liquidator. Jumping even short distances can cause severe bodily injury. **USE** the grab handles and steps to get off of or out of the cab of Liquidator. Be aware of uneven ground or large rocks when
getting down from Liquidator.

**ALWAYS** approach Liquidator from the front of the vehicle to get the operator’s attention. See Figure 3 for safe approach area.

**NEVER** assume the operator is aware of your presence around Liquidator. Exchange some type of hand signals to be sure that the operator acknowledges your presence around Liquidator.

**ALWAYS** keep away from the driver’s side of Liquidator. This is the side that most of the high pressure components are located.

**ALWAYS** keep away from the articulated area of Liquidator and pinch point areas of the baseplate and lift assembly.

**ALWAYS** keep away from the exhaust area to prevent burns and be aware that hoses and piping can be hotspots due to the temperature of the oil in the hydraulic system.

**NEVER** allow dry material such as leaves or brush to accumulate on Liquidator to prevent the possibility of fire on the vehicle.
2.3.3 Safety Rules for working around Raptor and Rattler

Raptor and Rattler are large low frequency mobile shaker vehicles. Extra caution should be taken when working around these vehicles.

**NEVER** attempt to operate Raptor or Rattler unless you have proper training by an approved instructor.

**ALWAYS** use eye and hearing protection within 30 feet of Raptor or Rattler.
NEVER work on Raptor or Rattler when the engine is running. Turn off electronics, shut down the engines and disconnect battery power before performing work. Check high and low hydraulic pressure gauges to be sure there is no hydraulic pressure on the system before repairs or adjustments.

ALWAYS use grab handles and steps when climbing into the cab or climbing onto the back of Raptor or Rattler around the engine area. NEVER jump off of the shakers. Jumping even short distances can cause severe bodily injury. USE the grab handles and steps to get off of or out of the cab or maintenance deck. Be aware of uneven ground or large rocks when getting down from the shakers.

ALWAYS approach Raptor or Rattler from the front of the vehicle to get the operator’s attention. See Figure 3 for safe approach area. (Figure drawn for T-Rex and Liquidator, but also applicable to Raptor and Rattler.)

NEVER assume the operator is aware of your presence around the shaker. Exchange some type of hand signals to be sure that the operator acknowledges your presence before performing work around the shaker.

ALWAYS keep away from the sides of Raptor and Rattler while in operation. In the event of equipment malfunction, hot oil or debris may be thrown from the center area of the truck.

ALWAYS keep away from the pinch point areas of the baseplate and lift assembly.

ALWAYS keep away from the exhaust area to prevent burns and be aware that hoses and piping can be hotspots due to the temperature of the oil in the hydraulic system.

NEVER allow dry material such as leaves or brush to accumulate on Liquidator to prevent the possibility of fire on the vehicle.

2.3.4 Safety Rules for working around Thumper

Thumper is small shaker mounted on the back of a straight Ford F-650 truck. Although Thumper is smaller than our other shakers extra caution should be taken when working around Thumper because Thumper’s operating pressure is 4,000 PSI. NEVER allow anyone within 30 feet of the rear of Thumper while it is operating.

NEVER attempt to operate Thumper unless you have proper training by an approved instructor.

ALWAYS use eye and hearing protection within 30 feet of Thumper.

NEVER work on Thumper when the engine is running. Turn off electronics and shut
down engine before working on Thumper. Check high and low hydraulic pressure gauges to be sure there is no hydraulic pressure on the system before repairs or adjustments.

**ALWAYS** use grab handles and steps when climbing into the cab or climbing onto the back of Thumper around the engine area. **NEVER** jump off of Thumper, Jumping even short distances can cause severe bodily injury. **USE** the grab handles and steps to get off of or out of the cab of Thumper. Be aware of uneven ground or large rocks when getting down from Thumper.

**ALWAYS** approach Thumper from the front of the vehicle to get the operator’s attention. **NEVER** assume the operator is aware of your presence around Thumper. Exchange some type of hand signals to be sure that the operator acknowledges your presence around Thumper.

**ALWAYS** keep away from the back of Thumper. This is the area where most of the high pressure components are located.

**ALWAYS** keep away from the pinch point areas of the baseplate and lift assembly.

**ALWAYS** keep away from the exhaust area to prevent burns and be aware that hoses and piping can be hotspots due to the temperature of the oil in the hydraulic system.

**NEVER** allow dry material such as leaves or brush to accumulate on Thumper to prevent the possibility of fire on the vehicle. If possible try to avoid working in dry grass or brush areas with Thumper because the exhaust is mounted horizontally under the truck.

### 2.3.5 Safety Rules for T-Rex, Liquidator, Raptor, and Rattler Demonstrations

1. The audience should maintain a safety distance of at least 30 feet from the center of the shaker while the shaker is operating.

2. The audience should stay away from the high pressure (driver's) side of the shaker.

3. The audience should not stand behind the shaker out of the driver's range of vision.

4. The safe area for audience is shown in Figure 4.
3. SPECIFIC SAFETY POLICIES

Service and maintenance of NHERI@UTexas shaker trucks and other vehicles is performed by qualified repair technicians at either the University of Texas Parking and Transportation Services Fleet Management Automotive Shop or third-party commercial
vehicle repair depots. The following material represents general NHERI@UTexas safety policy for field work, vehicle transportation and storage.

3.1 BLOOD BORNE PATHOGENS

NHERI@UTexas research and other activities do not normally involve interaction with blood borne pathogens. Unforeseeable personnel injury could potentially result in blood borne pathogen exposure, and these cases should be managed in accordance with the guidelines below.

American Red Cross First Aid training, which includes guidelines for handling spilled blood, is mandatory for all NHERI@UTexas field employees. First Aid kits which include protective wear are available at prominently marked locations in all NHERI@UTexas facilities and vehicles.

In the event human body fluids require clean up due to an injury, only trained personnel are allowed to clean up spills with approved clean up kits. If no trained personnel are present, secure the area and call the University Environmental Health and Safety department's 24-hour hotline at (512) 471-3511 for instructions.

You are responsible for keeping your immediate work area clean and sanitary. If you become aware of needs beyond general housekeeping, report your concern to your supervisor.

All equipment and working surfaces must be cleaned and decontaminated using sanitizing cleanser after contact with blood or other potentially infectious material.

If you get blood or other potentially infectious materials in your eyes, nose, mouth, or on broken skin:

- Immediately flood the exposed area with water and clean any wound with soap and water or a skin disinfectant if available.
- Report this immediately to your employer.
- Seek immediate medical attention at UT Health Services or the nearest medical care facility.

More information can be found in the UT Austin Bloodborne Pathogens Exposure Control Plan at the following link:

3.2 FIRE and LIFE SAFETY

The University of Texas at Austin Fire Prevention Services offers a variety of resources relating to fire safety and fire emergency management at http://fireprevention.utexas.edu/safety. Personnel are directed to familiarize themselves with these resources; they represent official NHERI@UTexas policy as regards to fire safety.

Fire extinguishers are located in prominent marked locations throughout NHERI@UTexas facilities and in the cab or operating compartment of all NHERI@UTexas vehicles. Personnel are trained on proper use as a condition of employment.

3.2.1 Building Fire Safety Procedures

General building fire safety instructions are as follows:

1. **Rescue** yourself first, and then assist others from the fire area if you are able to do so without placing yourself at risk.

2. **Announce** the emergency to everyone in the fire area and inform Fire Prevention Services or other relevant local fire prevention authority.

3. **Contain** the fire and resultant smoke by closing doors and windows leading to the fire area as you leave. This simple action can hold the fire back and keep smoke from entering adjoining areas, allowing time for everyone to escape.

3.2.2 Field Fire Safety Procedures

During all field operations, a safety briefing will be conducted and all personnel will be informed as to where to meet in the event of a fire or natural disaster. Personnel will be informed as to the local emergency numbers to contact for each particular emergency and the location of the nearest medical treatment facility. The Chief Scientist is responsible for collecting and disseminating this information as part of the safety training session referenced in section 2.3, and must verify receipt by all personnel.

In the event of a vehicle or equipment fire, all personnel should evacuate to their designated location and report to the person in charge. Immediately contact local, police, fire and EMS before attempting to extinguish a vehicle fire.

Assess the situation prior to any actions. If a vehicle or piece of equipment is on fire, locate the nearest fire extinguisher and operate according to the extinguisher instructions if it is safe to do so. All UT personnel are trained in the proper use of fire extinguishers. If the fire cannot be extinguished, evacuate the area and wait for rescue personnel.
If the fire was successfully extinguished, wait for rescue personnel to check and verify that the fire is out and it is safe to enter the area.

### 3.3 LOCKOUT/TAGOUT

The purpose of Lockout/Tagout policy is to prevent injuries to employees from the unexpected energizing, start-up, or release of stored energy from machines, equipment, or processes when such employees are engaged in activities where they are at risk from these hazardous sources.

Large mobile equipment platforms such as the NHERI@UTexas shaker trucks can pose significant risk of personnel injury or loss of life when powered up or moved in an improper manner. Lockout/tagout machine assessments have been performed on the three shaker trucks and are available on file in the Chief Engineer's office in Building 46. The following procedures have been developed to prevent inadvertent starting or other activation of NHERI@UTexas equipment in accordance with OSHA 29 CFR 1910.147.

#### 3.3.1 ACCESS CONTROL

The NHERI@UTexas mobile shaker trucks and other vehicles are secured from inadvertent or unauthorized access or ignition with keyed locks. Certain vehicles include self-powered generator or pump equipment which is also secured via ignition key and/or locking access panel. Keysets for each vehicle and its associated equipment are secured in one of three locations:

1) A locking key cabinet in the UT Geotechnical Engineering Senior Administrative Associate's office. Access to both the cabinet and the office is controlled by the Associate.

2) A locking key cabinet in the locking tool cage in Bldg. 46. Access to both the cabinet and the cage is strictly limited to NHERI@UTexas personnel.

3) A mileage logbook binder which accompanies each vehicle into the field. The binder and keyset is secured by the NHERI@UTexas employee responsible for that vehicle.

#### 3.3.2 GENERAL LOCKOUT/TAGOUT

Tagout tags are available on prominent display in the Bldg. 46 office and placed in an accessible location in every vehicle. When such maintenance is required on NHERI@UTexas equipment as would cause danger to personnel or property when the
equipment is energized or moved, as determined by the person undertaking said maintenance, the shop supervisor, or NHERI@UTexas personnel, a tagout tag must be filled out by the person undertaking the maintenance and affixed to either:

A) In the case of a mobile shaker or other vehicle, a visible location on the vehicle's steering wheel.

B) In the case of a generator, hydraulic pump or other self-powered equipment without a steering mechanism, on the throttle or other primary control for that equipment.

C) In the case of an electrical device, the power switch, plug, safety interlock or other prominent feature required to energize the device.

D) In the case of a hydraulic, mechanical or gas pressure system activated by valve or other manual control, the control interface for that system.

This tag must contain at a minimum: the name of the person performing the maintenance, the date the tag was attached, and a note summarizing the maintenance being performed.

3.3.3 SPECIFIC LOCKOUT PROCEDURE FOR T-REX AND LIQUIDATOR

NOTIFY AFFECTED EMPLOYEES

Verbally notify all affected employees that the machine or equipment will be shut down and locked/tagged out for servicing or maintenance.

SHUTDOWN THE MACHINE/EQUIPMENT

1. Put machine in neutral, lever located in cab right side of driver seat on floor

2. Set parking brake, yellow brake valve located on dash panel, right side

3. Pressure down machine, control valve left side of dash panel

4. Return engine to idle with throttle control located right side of driver seat of floor

5. Return ignition key to the off position, located on right side of dash

6. Switch battery isolation switches to the off position, located right side rear of vehicle

ISOLATE THE MACHINE/EQUIPMENT FROM ALL ENERGY SOURCES
• Ignition key located in cab, right side of dash
• Battery isolation switches located right rear of equipment

APPLY LOCKOUT/TAGOUT DEVICES

A tag must be placed on the steering wheel of the vehicle and a tag must be placed at the battery isolation switches; keys must be tagged and locked in cabinet

DISSIPATE OR RESTRAIN ALL STORED OR RESIDUAL ENERGY

• ELECTRICAL

  Electrical energy is stored in the batteries at the rear of the machine and isolated by turning the isolation switches to the off position.

• HYDRAULIC

  Once the machine is pressured down and the high and low pressure gauges on the dash read zero, there is no stored hydraulic energy.

• AIR PRESSURE

  Drain air tanks if servicing air system, once dash gauge reads zero and no air can be heard coming from drain valve located on air tank, stored energy has been depleted.

• ACCUMULATOR (COMPRESSED NITROGEN)

  Dissipate stored accumulator pressure prior to servicing: open release valve on accumulator; once local accumulator gauge reads zero and no sound can be heard coming from release valve, all stored energy has been depleted.

VERIFY/TEST THAT THE MACHINE/EQUIPMENT HAS BEEN ISOLATED

1. Turn the ignition key to the on position. If the machine does not start, then the electrical system has been isolated.

2. Attempt to release the parking brake. If the brake valve pops back out, there is no air in the system.
3. The machine will not operate if there is no hydraulic pressure/accumulator pressure.

**PERFORM THE NECESSARY SERVICE, MAINTENANCE AND/OR REPAIR WORK**

Following normal safety procedures and with all needed Personal Protective Equipment e.g. safety glasses, chemical resistant gloves, coveralls, steel-toe safety shoes.

**REMOVAL/RESTORE FROM LOCKOUT/TAGOUT**

1. Clear all nonessential tools/personnel and verify that all machine/equipment components are operationally intact.
2. Verify that the controls are in neutral.
3. Remove lock-out/tag-out devices.
4. Replace all safety guards.
5. Notify affected employees that machine/equipment is ready for use.
6. Re-energize machine/equipment.

**3.3.4 SPECIFIC LOCKOUT PROCEDURE FOR THUMPER**

**NOTIFY AFFECTED EMPLOYEES**

Verbally notify all affected employees that the machine or equipment will be shut down and locked/tagged out for servicing or maintenance.

**SHUTDOWN THE MACHINE/EQUIPMENT**

1. Set parking brake, handle to right of driver’s seat
2. Pressure down shaker using switch box in cab behind driver's seat
3. Return rear engine to idle using throttle control on rear engine control panel
4. Turn off rear engine and remove key from ignition switch
5. Turn off front engine and remove key from ignition switch
ISOLATE THE MACHINE/EQUIPMENT FROM ALL ENERGY SOURCES

To isolate machine, remove the battery box cover located on driver side under cab, disconnect battery cables from all batteries.

APPLY LOCKOUT/TAGOUT DEVICES

Place a tag on the steering wheel; tag keys and lock in cabinet.

DISSIPATE OR RESTRAIN ALL STORED OR RESIDUAL ENERGY

There is no release of stored energy from batteries.

VERIFY/TEST THAT THE MACHINE/EQUIPMENT HAS BEEN ISOLATED

Attempt to start rear engine. If starter does not engage, the engine has been isolated.

PERFORM THE NECESSARY SERVICE, MAINTENANCE AND/OR REPAIR WORK

Following normal safety procedures and with all needed Personal Protective Equipment e.g. safety glasses, chemical resistant gloves, coveralls, steel-toe safety shoes.

REMOVAL/RESTORE FROM LOCKOUT/TAGOUT

1. Clear all nonessential tools/personnel and verify that all machine/equipment components are operationally intact.
2. Verify that the controls are in neutral.
3. Remove lock-out/tag-out devices.
4. Replace all safety guards.
5. Notify affected employees that machine/equipment is ready for use.
6. Re-energize machine/equipment.
3.4 PERSONAL PROTECTIVE EQUIPMENT

Personal protective equipment, or PPE, is designed to protect employees from serious workplace injuries or illnesses resulting from contact with chemical, radiological, physical, electrical, mechanical, or other workplace hazards. Besides face shields, safety glasses, hard hats, and safety shoes, PPE includes a variety of devices and garments such as goggles, coveralls, gloves, vests, earplugs, and respirators.

Hearing Protection

Exposure to high noise levels can cause irreversible hearing loss or impairment as well as physical and psychological stress. Wearing earplugs or earmuffs can help prevent damage to hearing. Earplugs made from foam, waxed cotton, or fiberglass wool are self-forming and usually fit well. Clean earplugs regularly, and replace those you cannot clean.

Skin Protection

Workers exposed to harmful substances through skin absorption, severe cuts or lacerations, severe abrasions, chemical burns, thermal burns, and harmful temperature extremes will benefit from hand protection. In some cases workers must shield most or all of their bodies against hazards in the workplace, such as exposure to heat and radiation as well as hot metals, scalding liquids, body fluids, hazardous materials or waste, and other hazards. In addition to fire-retardant wool and fire-retardant cotton, materials used in whole-body PPE include rubber, leather, synthetics, and plastic.

Respiratory Protection

When engineering controls are not feasible, workers must use appropriate respirators to protect against adverse health effects caused by breathing air contaminated with harmful dusts, fogs, fumes, mists, gases, smokes, sprays, or vapors. Respirators generally cover the nose and mouth or the entire face or head and help prevent illness and injury. A proper fit is essential, however, for respirators to be effective. All employees required to wear respirators must first undergo medical evaluation.

Eye Protection

Besides spectacles and goggles, PPE such as special helmets or shields, spectacles with side shields, and face shields can protect employees from the hazards of flying fragments.

Foot Protection

In addition to foot guards and safety shoes, leggings (e.g. leather, aluminized rayon, or other appropriate material) can help prevent injuries by protecting employees from hazards such as falling or rolling objects, sharp objects, wet and slippery surfaces, molten metals, hot surfaces, and electrical hazards.
Head Protection

Hard hats can protect your employees from head impact, penetration injuries, and electrical injuries such as those caused by falling or flying objects, fixed objects, or contact with electrical conductors. Also, OSHA regulations require employers to ensure that workers cover and protect long hair to prevent it from getting caught in machine parts such as belts and chains.

PPE Requirements for Shop and Field Use

All personnel involved in field operations involving shaker trucks are required to wear long pants such as jeans or coveralls and closed toe shoes.

Whenever working within 50ft of shaker equipment, you must wear long pants, such as coveralls or jeans, steel-toe shoes, safety glasses, hearing protection and hard hat.

Proper PPE such as hard hats, safety glasses and hearing protection is available from the Chief Engineer.

When performing refueling operations of equipment, you must wear face shield, goggles or other protection suitable to prevent eye contact with fuel.

We work with a variety of hand and power tools. All personnel will be introduced to site specific tools by the Chief Engineer upon hiring. Selection of Proper PPE will be in accordance with manufacturers instructions, contained in the safety pamphlets supplied with the equipment. Specific tool safety requirements will be available for review from the Chief Engineer and kept on file at Building 46.

Each person will be responsible for checking their PPE and verifying that each piece is in safe working condition. Any PPE showing signs of wear, having tears, breaks or cracks will be disposed of immediately to prevent use by any other personnel.

Personnel who are unsure of what PPE should be used during specific operations, should always consult with the PI and/or the Chief Engineer on duty prior to starting your task.

3.5 Compressed Gasses

Compressed gas storage in NHERI@UTexas facilities is limited to non-flammable compressed nitrogen, used for recharging accumulator devices on T-Rex and Liquidator. Compressed gases are to be used only by the shop supervisor and personnel trained and approved by the shop supervisor. Cylinders are to be refilled only by a commercial gas service provider. Cylinders must be inspected upon each use and on a weekly basis when in storage for leaks or damage. Leaking or damaged cylinders must immediately be removed from service and returned to the provider. Gas cylinders must be strapped to an
appropriate carrier at all times. When the cylinders are not in use, the carrier must be strapped to a stable, robust vertical support; any regulators, hoses or other apparatus external to the cylinders must be removed; and a manufacturer-approved protective cap must be installed over the valve or outlet of each cylinder. Compressed gas usage and storage must conform to OSHA 29 CFR 1910.101.

3.6 FLAMMABLES HANDLING AND STORAGE

3.6.1 Shop Flammables

Shop flammables are limited to cleaning solvents, aerosol paint, and other general-purpose chemicals. These are stored in clearly identified closed cabinets manufactured for that purpose, in accordance with OSHA 29 1910.106, and used according to manufacturer's instructions. MSDS for all products are maintained in a visible, clearly marked receptacle in the Chief Engineer's office in Building 46.

3.6.2 Field Flammables

Field flammables are limited to liquid diesel and gasoline fuel for powering the vehicles and generators used in NHERI@UTexas projects. Fuel handling and storage must follow the following guidelines:

- Only designated persons shall conduct fueling operations.
- In case of spillage, filler caps shall be replaced and spillage disposed of before engines are started.
- Engines shall be stopped and operators shall not be on board the equipment during refueling operations.
- Smoking and open flames shall be prohibited in areas used for fueling, fuel storage or enclosed storage of equipment containing fuel.
- Equipment shall be refueled only at designated locations.
- Liquid fuels not handled by pump shall be handled and transported only in portable containers or equivalent means designed for that purpose. Portable containers shall be UL-approved metal or plastic, have tight closures with screw or spring covers and shall be equipped with spouts or other means to allow pouring without spilling. Leaking containers shall not be used.
- When filling portable fuel containers, remove from vehicle or truck bed and place on flat, level ground to prevent buildup of static electricity.
- Flammable liquids may be dispensed in the open from a tank or from other
vehicles equipped for delivering fuel to another vehicle only if:

1. Dispensing hoses do not exceed 50 feet (15.24 m) in length; and

2. Any powered dispensing nozzles used are of the automatic-closing type.

- Liquid fuel dispensing devices shall be provided with an easily accessible and clearly identified shut-off device, such as a switch or circuit breaker, to shut off the power in an emergency.

Personnel shall inform the Chief Scientist and/or Chief Engineer prior to starting any refueling operations. Personnel not involved in the refueling operation shall remain clear of the refueling until refueling is complete as instructed by the Chief Scientist and/or Chief Engineer.

Fuel containers will only be carried in open bed of vehicle and secured to prevent spillage during transport.

### 3.7 LIFTING, MANUAL

NHERI@UTexas official policy prohibits manual lifting of weight over 50 pounds. Personnel are provided with OSHA-certified back support belts for weights less than 50 pounds as deemed necessary.

NHERI@UTexas field operations do not routinely require lifting weights of more than 50 pounds. If weight over 50 pounds must be moved or lifted, personnel must proceed according to one of the following courses of action:

- Disassemble or unpack the object into component pieces weighing less than 50 pounds.

- Enlist the aid of sufficient additional personnel to lift the weight such that no single person is lifting more than 50 pounds. All personnel involved should wear provided OSHA-approved back-support belts to prevent torsional back stress. Combined weight load should not exceed 150 pounds.

- Use provided hand trucks to move the weight under supervision of the Chief Engineer and in accordance with manufacturer's directions on file at Building 46. Do not to exceed the weight rating of the trucks as clearly marked.

### 3.8 LIFTING, MECHANICAL

NHERI@UTexas mechanical lifting devices are limited to hand-operated shop cranes, hydraulic jacks and winches. Personnel are required to use these devices in accordance with the manufacturer’s instructions, available on file in the Chief Engineer's office. The
rated lifting capacity of each device is not to be exceeded. OSHA-approved steel or composite protective toed footwear must be worn when lifting weights over 50 pounds by mechanical means. OSHA-approved hardhats are provided and must be worn when items are lifted over waist height.

3.9 MACHINE GUARDING

Machine guards are in place to prevent injury to personnel while equipment is operating. During shaker truck demonstrations, clear plastic panels are to be placed next to each truck on the spectator side in case of an accidental release of hydraulic fluid.

During engine operation, fan blade guards are to be in place and secure and engine compartment doors are to remain closed, except during engine inspection. These guards are in place to prevent accidental injury to spectators and personnel during engine/machine operation. Do not remove, modify or operate equipment without guards in place without discussing and getting approval from the Chief Engineer on duty. During routine morning inspection of equipment, prior to operation, verify all guards are in place and secure.

Always inform the Chief Scientist and/or Chief Engineer prior to performing any maintenance, removing guards or safety devices, and performing lockout/tagout procedures.

For specific machine guarding procedures, refer to section 3.1: LOCKOUT/TAGOUT.

3.10 NOISE EXPOSURE

Noise hazard evaluations incorporating sound meter surveys of the NHERI@UTexas T-Rex and Thumper shaker trucks indicate sound levels approaching 95 decibels within a 10-foot radius while in operation, exceeding OSHA's safe human hearing threshold of 90 decibels over an 8-hour period. NHERI@UTexas personnel are required to use OSHA-approved earplugs or protective earpieces with a Noise Reduction Rating of at least 30 dB when working within a 50-foot radius of the shakers. Protective earpieces meeting the 30dB NRR requirement are supplied for all NHERI@UTexas field personnel, and 30dB disposable earplugs are available in sufficient quantity for use by all participants over the duration of the project.

Personnel are cautioned not to rely on their sense of hearing while hearing protection is in use:

- Maintain visual awareness of your surroundings and the location of other personnel and vehicles at all times.
- Supplement verbal communication with eye contact, hand signals and other visual
cues; confer in a sound-protected environment such as the shaker cab or instrumentation trailer.

- Spatial awareness relies in part on acoustic cues which can be masked by hearing protection. Hardhats are provided and should be worn around the shakers at all times while in operation to prevent head injury from unexpected contact. Always maintain visual awareness of the shaker relative to your person.

### 3.10.1 Audiometric Testing

Audiometric testing of all NHERI@UTexas field and shop personnel is performed according to the following procedure:

1. Baseline audiograms must be performed within six months of employment for any employee who is routinely exposed to 85 dBA for an eight hour time period.

2. An annual audiogram must be done for all employees exposed to a Time-Weighted Average of greater than 90dB over an 8-hour period (potentially all field and shop personnel).

3. Audiograms will be used to identify any Standard Threshold Shifts (hearing loss) of the employee.

4. When a follow-up audiogram shows that an employee has suffered a standard threshold shift, a retest can be requested after 30 days to confirm the first evaluation.

5. An Audiologist or other qualified person must review all Standard Threshold Shifts and determine if there is a need for further evaluation of the employees hearing loss.

6. Employee must also be informed in writing within 21 days of determination of a hearing loss.

Employees who have been diagnosed with a Standard Threshold Shift (hearing loss) will be provided the following information:

- A copy of OSHA 29 CFR 1910.95 (c) - (n)

- The baseline and most recent audiogram (for comparison)

- Measurements of background and sound pressure level in audiometric testing room

- Calibration records of audiometer
Audiometric testing, evaluation and diagnosis are performed by a qualified Audiologist at the University of Texas at Austin Speech and Hearing Center.

Audiometric data and associated records are maintained on file by the Operations Manager for the duration of the NHERI@UTexas project. All records shall be made available to employees upon request. This will include former employees and their authorized representatives.

Any change in an employee's hearing threshold will be investigated by the Operations Manager as a possible workplace safety incident with appropriate action taken to identify and correct the hearing safety hazard and modify safety policy as appropriate.

### 3.11 POWER TOOLS, FIXED

Fixed power tools are limited to drill press, bench grinder and other standard small shop tools. Fixed power tools are used only by the shop supervisor and personnel trained and approved by the shop supervisor.

Fixed power tools must be used in accordance with manufacturer's directions. Manuals for every piece of powered shop equipment are maintained in a visible, clearly marked location in the Chief Engineer's office in Building 46, and personnel must read this material and familiarize themselves with the safe and proper operation of each tool before use.

Prior to operation, any fixed power tool must be inspected for safe and functional operation. Tools found deficient must be withdrawn from service via power removal and applicable lockout/tagout procedure or other warning notice, and the deficiency brought to the attention of the Operations Manager or Chief Engineer.

Appropriate clothing must be worn during use, including sturdy, closed-toed shoes; jeans or other sturdy long pants; and no loose-fitting clothing, jewelry or hair. OSHA-approved eye and ear protection is provided in accessible locations and must be worn while machines are in operation. Protective gloves are provided and recommended.

### 3.12 POWER TOOLS, PORTABLE

Portable power tools are to be used in accordance with manufacturer's directions and under the supervision of the Operations Manager or Chief Engineer. Prior to operation, the tool must be inspected for safe and functional operation and withdrawn from service if found deficient. OSHA-approved eye protection must be worn during operation and ear protection is recommended. Clothing must be maintained in an appropriate state for the hazards of the tool and the task at hand.
3.13 TEMPERATURE STRESS

Field projects include shade, air-conditioned work areas and sufficient water as provided for in the project proposal. All participants are informed of temperature stress dangers and appropriate precautions at the start of the project. All NHERI@UTexas personnel working in the field are trained by the Red Cross to recognize and treat heat stress.

The following guidelines are provided for recognition, treatment and prevention of temperature stress:

HEAT STRESS

When the body is unable to cool itself by sweating, several heat-induced illnesses such as heat stress or heat exhaustion and the more severe heat stroke can occur, and can result in death.

Factors Leading to Heat Stress

- High temperature and humidity
- Direct sun or heat
- Limited air movement
- Physical exertion
- Poor physical condition
- Some medicines
- Inadequate tolerance for hot workplaces

Symptoms of Heat Exhaustion

- Headaches, dizziness, lightheadedness or fainting.
- Weakness and moist skin.
- Mood changes such as irritability or confusion.
- Upset stomach or vomiting.

Symptoms of Heat Stroke

- Dry, hot skin with no sweating.
- Mental confusion or losing consciousness.
- Seizures or convulsions.

Preventing Heat Stress

- Know signs/symptoms of heat-related illnesses; monitor yourself and coworkers.
- Block out direct sun or other heat sources.
- Use cooling fans/air-conditioning; rest regularly.
• Drink lots of water; about 1 cup every 15 minutes.
• Wear lightweight, light colored, loose-fitting clothes.
• Avoid alcohol, caffeinated drinks, or heavy meals.

**What to Do for Heat-Related Illness**

• Call 911 (or local emergency number) at once.

  While waiting for help to arrive:

  • Move the worker to a cool, shaded area.
  • Loosen or remove heavy clothing.
  • Provide cool drinking water.
  • Fan and mist the person with water.

**3.14 WELDING**

Welding, gas cutting or brazing operations are not performed in Bldg. 46 or other Group facilities. NHERI@UTexas does not maintain equipment for these purposes. Work using these techniques is to be performed by the UT Welding Shop or other outside agencies according to said agencies’ safety policies and as required by OSHA 29 CFR 1910.251.

Should welding, gas cutting or brazing operations unavoidably need to occur inside Group facilities or be performed by Group personnel, they shall be subject to OSHA 29 CFR 1910.251 and the following policy:

**Hazards**

There are several hazards to consider when performing welding, brazing, or gas cutting operations. These hazards include fires, explosions, electrocution, burns, welder's flash, oxygen depletion, and toxic fumes. Each Supervisor/Manager will be responsible to ensure their personnel are aware of these hazards and have taken adequate steps to prevent such an occurrence.

**Personal Protective Equipment**

It is the responsibility of the Supervisor/Manager to ensure each employee utilizes the appropriate equipment required to safely perform welding, gas cutting, or brazing operations. This includes personal protective equipment listed below:

1. Respirators should be used when ventilation is less than adequate.
2. Flame retardant clothing should be worn to prevent clothing from catching on fire.
3. High top boots should be worn to prevent burns to the legs and feet.
4. Gloves are recommended to prevent hand burns.
5. All personnel are required to use an approved welder's shield or goggles. All shields must be ANSI (American National Standard Institute) approved and the proper shade for the type of operation being performed.

Training

Supervisors/Managers are required to ensure personnel who weld, gas cut, or braze have received proper training. They are also responsible to ensure personnel are trained in the following areas:

- Fire extinguisher use.
- Respirator training, if they are required to use a respirator.
- How to respond to an emergency (emergency numbers and alarm locations).
- Confined space training, which includes all requirements of the Confined Space Policy, if personnel are required to work in confined spaces.
- Personal protective equipment and the type of shield required for their specific operation.

Permits

A welding permit is required for each welding project and should be renewed each day. Copies of permits shall be obtained and filed by the Department Supervisor/Manager. Outside contractors are required to obtain permits from Facilities Services before the beginning of each project. The contractor is required to complete each permit and fulfill each requirement before work begins.

3.15 ENVIRONMENTAL

All field project participants are briefed on common environmental hazards relating to the specific field site as determined by the Chief Scientist at the beginning of the project. NHERI@UTexas personnel maintain familiarity with weather, wildlife, traffic and other hazards and monitor one other for appropriate protection. Raincoats, safety vests and other protective gear sufficient for all personnel are maintained in every NHERI@UTexas vehicle.

NHERI@UTexas vehicles do not present unusual environmental hazards. The hydraulic oil used in the shaker trucks is a biodegradable vegetable oil-based synthetic and is safe for human contact. MSDS for this oil is available on file at Building 46. For procedures addressing noise level and fuel handling, see section 3.10 (Noise Exposure) and 3.6 (Flammables Handling and Storage).

3.15.1 SNAKE AND INSECT HAZARDS
NHERI@UTexas projects often take place in remote or overgrown areas with a strong potential for venomous and stinging wildlife such as snakes, scorpions, and insects. Be cautious in areas which are overgrown or covered with debris such as logs, plywood or sheet metal, as these are favored habitats for snakes and scorpions. Bodies of water such as creeks or ponds can harbor poisonous snakes and should be avoided. Stinging insects which pose a low individual danger can attack en masse if their habitat is threatened, causing considerable pain and injury. Always maintain awareness of your environment, staying alert for visual or audible clues to these hazards.

3.16 LANGUAGE BARRIER

All NHERI@UTexas personnel are fluent in English. Foreign-language student field workers must be able to acknowledge and demonstrate understanding of safety policies and training directly or through the assistance of a bi-lingual intermediary to participate
Appendix A

Business Procedures Memorandum 16-05-02: Automobile Insurance Coverage for Officers and Employees and General Requirements for the Use of Vehicles
INTRODUCTION

The purpose of this Business Procedure Memorandum (BPM) is to outline insurance policies covering the authorized use of University-owned and operated vehicles, procedures for updating the inventory of vehicles and related information, requirements for the use of vehicles, requirements for authorization of drivers and procedures for reporting vehicle accidents or occurrences. Each component institution's Chief Business Officer is responsible for full implementation of the requirements outlined within this BPM.

DEFINITIONS

The following definitions apply to this BPM:

- **Authorized driver**: An employee of The University of Texas System ("University") who is eligible to operate a University-owned vehicle for official University business. To be eligible an employee must hold a valid driver's license issued by the State where the employee permanently resides, have an acceptable driving record, and have the necessary driver training.

- **Commercial Motor Vehicle (CMV)**: The U.S. Department of Transportation - Federal Motor Carrier Safety Administration defines a CMV as any self-propelled or towed motor vehicle used on a highway in interstate commerce to transport passengers or property when the vehicle--
  1. Has a gross vehicle weight rating or gross combination weight rating of 4,537 kg (10,001 lb) or more; whichever is greater; or
  2. Is designed or used to transport more than 8 passengers (including the driver) for compensation; or
  3. Is designed or used to transport more than 15 passengers, including the driver, and is not used to transport passengers for compensation; or
  4. Is used in transporting material found by the Secretary of Transportation to be hazardous under 49 U.S.C. 5103 and transported in a quantity requiring placarding under regulations prescribed by the Secretary under 49 CFR, subtitle B, chapter I, subchapter C.

- **Component Institution**: Component institutions include U.T. Arlington, UT Austin, UT Brownsville, UT Dallas, UT El Paso, UT Pan American; UT Permian Basin, UT San Antonio, UT Tyler, UT Southwestern Medical Center Dallas, UT Medical Branch Galveston, UT Health Science Center Houston, UT Health Science Center San Antonio, UT M.D Anderson Cancer Center, UT Health Center Tyler, and UT System Administration.

- **Employee**: a person employed in the service of the University under an appointment or oral or written express contract for hire whose name appears on the System's payroll.

- **Official University business (as it relates to driving)**: Driving a vehicle during the course and scope of employment for the University regardless of the frequency of driving or operating duties.

- **University-owned vehicle**: A vehicle to which the University holds title or a vehicle leased by the University for more than one year.

- **Vehicle**: Equipment of a motorized, vehicular nature, which requires a State drivers license to operate. This does not include riding lawnmowers or other vehicles that normally operate off public thoroughfares,
INSURANCE COVERAGE

University-Owned Vehicles (including long-term (>1 yr.) lease vehicles)

Under the provisions of Texas Civil Practice and Remedies Code Section 101.027 and Texas Government Code Section 612.002, liability insurance has been purchased for officers and employees of the University covering liability arising from the use and operation of University-owned and operated vehicles while on official University business.

Automobile liability coverage is provided for authorized drivers of University-owned vehicles through the "System-wide" automobile liability Policy. Under the terms of the policy, the insurance company will periodically audit the inventory of vehicles covered. To assist in this audit, each component institution's fleet contact shall update all vehicle information (additions, deletions and changes) through the UT System Auto Inventory Online System by accessing [http://www.utsystem.edu/bas/Auto/login.cfm](http://www.utsystem.edu/bas/Auto/login.cfm). When performing updates, the fleet contact shall assign a Vehicle Classification Rating to each vehicle in accordance with the "Motor Vehicle Classification Schedule" that appears on Attachment A. Fleet contacts are encouraged to update their vehicle information as soon as possible after receiving information requiring an update.

Rental (Hired) Vehicles (including short-term (<1 yr.) lease vehicles)

When an employee rents a vehicle from a rental company under contract with the Building and Procurement Commission, liability, comprehensive and collision insurance coverages are automatically provided. The "Hired/Non-Owned" policy provides coverage that is in excess of the insurance secured through the rental agreement. When a contracted company is not used, employees should not waive the physical damage insurance coverage offered by the non-contract company. However, when an employee inadvertently waives insurance, the Hired/Non-Owned policy will provide liability, comprehensive and collision coverage on a primary basis.

Component institutions must notify the UT System Business and Administrative Services office at least 48 hours prior to the trip, if any rental vehicle is to be taken off road; or if a vehicle is being rented or leased for extensive travel (travel outside of the 48 contiguous states or rented or leased for more than 30 days).

The following information must be provided with the notification:

- Dates insurance coverage is needed;
- City and state where the vehicle is being rented;
- Name, address and phone number of the rental company;
- Make, model, license number, vehicle identification number and value of vehicle;
- Names of the individuals that will be driving the vehicle; and
- Purpose of the rental.

If a rental or leasing company requires a copy of the Certificate of Insurance before releasing the vehicle, then the component institution needs to notify the UT System Business and Administrative Services office. The same information as mentioned above is needed. Business and Administrative Services will then notify the insurance company to request the certificate. Component institutions are encouraged to develop internal procedures for centralizing these requests.

If a rental vehicle is involved in an accident, both the driver and component institution shall follow the post-accident claims procedures in this BPM.
**Personal (Non-University Owned) Vehicles**

Employees are strongly discouraged from using personal vehicles for conducting official University business. The "Hired/Non-Owned" policy provides liability coverage only for employees using their personal vehicles while conducting official University business. The liability coverage in this case applies only as a secondary coverage to the employee's personal auto policy.

If an employee using his or her personal vehicle for official University business is involved in an accident, the component institution will need to notify the UT System Business and Administrative Services office by the next business day by calling 512-499-4559. Component institutions and employees shall follow the post accident claims procedures in this BPM if a personal vehicle is involved in an accident while being used for official University business.

**Other Insurance Coverages**

Insurance covering physical damage to a University-owned or leased vehicle is also available to component institutions. This coverage is typically purchased for newer vehicles or when lease terms require physical damage insurance.

Insurance providing full coverage, which includes liability, uninsured motorist, underinsured motorist, physical damage and personal injury protection coverages, is available to component institutions as well. This coverage is most commonly purchased when a University-owned or leased vehicle is assigned to a particular employee or group of employees (e.g. Chief of Police, Physical Plant Director, etc.).

Insurance coverage for vehicles traveling into Mexico is obtained on an as-needed basis. Coverage should be secured through the UT System Business and Administrative Services office in order to ensure sufficient and consistent coverage.

Component institutions can obtain quotes for the insurance listed above by contacting the UT System Business and Administrative Services office at 512-499-4559.

**REQUIREMENTS FOR THE AUTHORIZATION OF DRIVERS**

Authorization of drivers for the use of vehicles for official University business shall be limited to employees of the University unless otherwise approved in writing by the UT System Business and Administrative Services office. Each component institution shall maintain a list of all authorized drivers of University-owned vehicles (listed alphabetically) to include:

- Driver's name (as it appears on his or her license)
- Driver's license number
- Date of birth
- Driving status (acceptable or not acceptable)
- Date of last training/counseling
- Notations regarding any misconduct with the vehicle, any reprimands concerning his or her driving
- Any positive remarks regarding his or her safe driving

**Motor Vehicle Record (MVR) Checks**

Before employing a person as a driver of a University-owned vehicle for official University business, each component institution shall request from the Texas Department of Public Safety a list of convictions for traffic violations contained in the department records on the potential employee and a verification that the person has a valid driver's license issued by the State in which the person permanently resides. Incumbent authorized drivers will have their MVR checked by their respective component institution:
• At least every twelve months after initially authorized;
• Promptly after the employee has been involved in an automobile accident while driving on official University business; and
• Promptly after the employee receives a moving traffic citation while on official University business.

Authorized drivers and applicants seeking a position that requires authorization to operate a University-owned vehicle for official University business will be evaluated on the most recent three-year history reflected on their MVR and points will be assigned accordingly. If the total points equal four or more for the immediately preceding 36 months, the person shall not be authorized to operate a University-owned vehicle for official University business. If a component institution is unable to obtain a three-year driving record history, the component institution’s CBO may use his/her discretion to authorize the employee to operate a University-owned vehicle for official University business.

**Type A Violations** - Convictions for Type A violations count as four (4) points against an authorized driver's or applicant's record. Type A violations include:

- Criminal negligent homicide - 1st or 2nd degree
- Driving while intoxicated
- Driving while under the influence of drugs
- Drag Racing / Speeding Contest
- Aggravated Assault with motor vehicle
- Driving while license suspended
- Murder - with motor vehicle
- Reckless driving
- Fleeing from police officer
- Felony use of CMV - controlled substance
- Felony use of Commercial Motor Vehicle (CMV)
- Driving while license disqualified - CMV

For the purposes of this BPM, the definition of a DUI/DWI conviction means: being convicted, receiving a probated sentence, or pleading no contest for driving a commercial or non-commercial vehicle under the influence of alcohol or drugs, or while intoxicated.

**Type B Violations** - Convictions for Type B violations count as one (1) point against an authorized driver's or applicant's record. Type B violations are all moving traffic violations not listed above as a Type A violation. Type B violations do not include non-moving administrative offenses (examples: out-dated inspection stickers, no proof
of insurance, license plate missing).

**At-Fault Accidents** - Each at-fault accident counts as two (2) points. If a moving traffic violation conviction appears on a driving record along with an accident on the same date, this usually means that person was at-fault in the accident. Accidents shall be reviewed and the insurance company and each component institution's business office, or other office designated by the CBO, shall make a determination if there is an at-fault question. Accident reports may be obtained from the Texas Department of Public Safety.

**Reporting Responsibilities**

An authorized driver of a University-owned vehicle shall immediately report to his or her supervisor a suspension or revocation of the driver's license or any Type A violation as defined in this BPM including the nature of the charge, the pleas entered by the driver, the scheduled court date, and the findings of the court. An employee who fails to provide required notice shall be subject to disciplinary action up to and including dismissal. Additionally, an authorized driver shall promptly report to his or her supervisor if the driver has been involved in a Type B violation as defined by this BPM or an at-fault automobile accident while operating a University-owned vehicle for official University business.

An employee who operates a University-owned, rented, leased, or personal vehicle for official University business at a time when his or her license was suspended or revoked, shall be subject to disciplinary action up to and including dismissal.

**Driver Training**

Each component institution shall require driver training for each authorized driver of University-owned vehicles at least every three years. Driver training may include, but is not limited to:

- Defensive driving;
- One-day seminars on driving improvement;
- Driver training videos on specific driving skills in which the driver may be deficient; or
- Hands-on, behind-the-wheel training.

**Commercial Drivers License (CDL)**

A CDL is required of authorized drivers who operate commercial motor vehicles (CMVs) for official University business.

In accordance with the US Department of Transportation's Federal Motor Carrier Safety Regulations, 49 CFR Parts 40 and 382, employers shall test drivers holding a CDL for drugs and alcohol. The regulations call for pre-employment, post accident, reasonable suspicion, random, return-to-duty, and follow-up drug and alcohol testing. Component institutions with authorized drivers who are required to hold a CDL shall adopt a drug and alcohol-testing program that meets the regulatory requirements.

**GENERAL REQUIREMENTS FOR THE USE OF VEHICLES**

Vehicles shall be used legally, courteously and safely.

To reduce the risk of accidental loss associated with the use of vehicles by the University, component institutions shall adopt a comprehensive fleet safety program to include policies and procedures for the following: minimum driver standards, driver's motor vehicle record checks for authorized drivers and potential drivers, post accident reviews and remedial actions, driver training, drug and alcohol testing for drivers of commercial motor vehicles, and other policies and procedures consistent with the State Vehicle Fleet Management Plan and this BPM.
OPERATION OF 15-PASSENGER VANS

Component institutions shall ensure the following regarding the use of 15-passenger vans:

- Require that 15-passenger vans be operated only by experienced, authorized drivers who understand and are familiar with the handling characteristics of the vans, especially when the van is fully loaded. This shall include having operators of 15-passenger vans attend van-specific training, including behind the wheel, on the road, training.

- 15-passenger vans shall not be used to carry more than 9 occupants (including the driver) at any one time, except as provided in the following sentence. At the discretion of the component institution's Chief Business Officer, a component institution may use a 15-passenger van to carry between 10 and a maximum of 15 occupants (including the driver) on inner-campus, non-public streets only, provided that (1) the van does not cross over or travel on public streets, and (2) the van is not driven at a speed in excess of the posted campus speed limit, or in excess of 15 mph if there is no posted campus speed limit.

- Require seat belt use at all times by all occupants of 15-passenger vans.

- 15-passenger vans shall not be used to carry more than 9 occupants (including the driver) at any one time, except as provided in the following sentence. At the discretion of the component institution's Chief Business Officer, a component institution may use a 15-passenger van to carry between 10 and a maximum of 15 occupants (including the driver) on inner-campus, non-public streets only, provided that (1) the van does not cross over or travel on public streets, and (2) the van is not driven at a speed in excess of the posted campus speed limit, or in excess of 15 mph if there is no posted campus speed limit.

- Limit the number of total hours a driver may drive to no more than ten hours in any twenty-four hour period. Trips requiring more than ten hours driving time to reach a point of destination will require overnight lodging.

- Van drivers shall take a mandatory thirty-minute rest break every four hours.

- For trips scheduled for longer than 2 hours, assign a navigator to assist each driver. The navigator must stay awake while on duty.

POST ACCIDENT CLAIMS PROCEDURES

Reporting procedures shall be established by each component institution to ensure authorized drivers understand their claim handling and reporting responsibilities and to ensure that the Chief Business Officer or designee is immediately made aware of any incidents that could lead to a claim under any automobile insurance coverage purchased by or for the benefit of the component institution.

Driver Responsibilities

While at the scene of the accident, authorized drivers shall attempt to obtain as much information as possible, including the license plate number of any vehicles at the scene, and insurance information from the other parties involved in the accident. As soon as possible, the authorized driver shall provide this information to his or her component institution's fleet contact.

Authorized drivers of University-owned vehicles should advise other parties involved in the accident that the accident will be reported to the University's insurance company who will be in contact with the claimant. Authorized drivers should not make any representations regarding insurance coverage to other parties involved in the accident, because the insurance company's adjuster will make the determination of coverage available under the insurance policy.

Component Institution Responsibility

The current procedure for reporting claims (or possible claims) to the insurance carrier is to complete an ACORD Automobile Loss Notice Report Form for each accident. Under the heading "Insured Vehicle," on the line "Owner's Name and Address", the fleet contact shall ensure the appropriate component institution's identification number and name are indicated and that sufficient and accurate details are provided on the ACORD form before faxing it to the insurance company, the insurance agent and the UT System Business and Administrative
Services office at 512/499-4524. The names and phone numbers for the insurance company, insurance agent and the U. T. System Business and Administrative Services contact will be provided to the Business Manager’s office of each component institution at the beginning of each policy year.

It is important for the insurance company to know clearly and, as soon as possible, all factual information about the accident. If the facts lead the driver or the fleet contact to the opinion that the University is not at fault, clearly state that information on the form. If fault is questionable, the driver or fleet contact should provide supporting information to assist the insurance company with making a determination of fault.

The UT System Business and Administrative Services office or the insurance company may request additional information needed for their files or for further processing.

Authorization for repairs will not be given until the ACORD form is reviewed by the insurance company.

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Provided by Business and Administrative Services

Last Updated: May 7, 2002
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The University of Texas System Administration
Appendix B

University of Texas at Austin Vehicle Fleet Management Plan
University of Texas at Austin
Vehicle Fleet Management Plan

PURPOSE

The purpose of the University of Texas at Austin’s Vehicle Fleet Management Plan (Plan) is to implement provisions of House Bill 3125, Acts 76th Legislature, which mandate the Office of Vehicle Fleet Management (OVFM) of the General Services Commission (GSC) to develop a management plan for the state fleet. Additionally, this Plan formally establishes fleet policies and procedures required to increase vehicle use, reduce fleet maintenance expense, and improve the overall operating efficiency of the University’s fleet.

REVIEW

The Director of Physical Plant will review this Plan on June 1 of each odd numbered year and forward any recommendations for change to the Vice President for Employee and Campus Services. The Plan may be reviewed at other times, as required by OVFM, with recommendations for change forwarded to the Vice President for Employee and Campus Services.

POLICY/PROCEDURE

1. **Definitions**
   b. Field Employee – An employee whose regular duties require work in various locations and who regularly requires a vehicle for ongoing daily activities.
   c. Fleet Manager – A person, designated by the University of Texas at Austin, responsible for planning, directing, managing, coordinating and supervising programs for the acquisition, assignment, utilization, maintenance, repair, replacement and disposal of fleet vehicles. This person also serves as the primary contact with the State Office of Vehicle Fleet Management.
   d. GSC – Texas General Services Commission.
   e. Handbook of Operating Procedures - A handbook that provides a compilation of the various rules and regulations which pertain specifically to the University of Texas at Austin.
Institutional Funds – The Education Code in Section 51.009 defines "institutional funds" as all funds collected at the institution that are not "educational and general funds." Institutional funds are recorded in the following University fund groups: Designated Funds (including Service Departments), Auxiliary Enterprise Funds, Restricted Funds, and Unexpended Plant Funds. (see State Funds).

Office of the Controller - University of Texas at Austin office that provides high level administrative and financial analysis support for senior level management.

OFVM - GSC’s Office of Vehicle Fleet Management.

Plan - University of Texas at Austin Vehicle Fleet Management Plan.

Poolable Vehicle – A light duty vehicle (car, van, station wagon, or pickup with a GVW under 8600 pounds), not assigned to a field employee or law enforcement/emergency service, suitable for providing basic transportation for any authorized driver.

State Funds – Funds derived through the state appropriation process. (see Institutional Funds).

University - University of Texas at Austin.

UTOVFM - University of Texas at Austin Office of Vehicle Fleet Management.

Vehicle Custodian – The person identified on property inventory records as property custodian for a particular department. A dean, chairperson, department head or director usually occupies this position.

Vehicle Coordinator - A person appointed by the vehicle custodian to coordinate all vehicle use reports, purchases, transfers and deletions. This person serves as primary contact between an individual department and the University’s vehicle fleet manager. A vehicle Coordinator may be assisted by Vehicle Contacts currently assigned for all University vehicle.

Vehicle Operator – A person authorized by the University, in writing, to drive University vehicles while on official business.
University of Texas at Austin  
Vehicle Fleet Management Plan  

q. Vice President for Employee and Campus Services – The University of Texas at Austin executive level approval authority for fleet transactions.

2. Personal Use/Misuse

As required by state law, fleet vehicles may only be used to conduct official University business. Under no circumstances may a University owned/leased vehicle be used for personal convenience or to conduct private business.

3. Vehicle Use and Driver Authorization

A University vehicle may only be driven to accomplish a specific purpose or task as authorized by the appropriate vehicle custodian. Authority to drive a vehicle is restricted to faculty, staff and students conducting official business for the University. Use is limited to accomplishing academic, research and/or administrative responsibilities of the department involved.

To become an authorized driver, a vehicle operator must possess a valid Texas Vehicle Operator's License and be added to the approved drivers list maintained by the Controller’s Office. Before being placed on the approved drivers list, the Controller’s Office will verify an employee’s driving record through the Texas Department of Public Safety. The Controller’s Office is also responsible for conducting an annual driving records check on all approved drivers and providing each vehicle custodian with a document confirming their drivers’ status as authorized or unauthorized. A copy of all correspondence and documentation will be forwarded to the vehicle fleet manager.

If an authorized driver is convicted of a traffic violation that results in the loss of their Texas Vehicle Operator's License, the driver must notify their supervisor that they have lost their license and are no longer eligible to drive a University vehicle. Failure to comply with this requirement may result in disciplinary action up to and including termination. Additionally, if the employee’s position requires a valid license, failure to maintain a good driving record may result in disciplinary action up to and including termination.

To legally operate some heavy duty University vehicles, state law requires the driver to possess a valid commercial driver’s license. Vehicle operators, when driving heavy-duty vehicles, are subject to the provisions of the federally mandated program of Drug and
University of Texas at Austin
Vehicle Fleet Management Plan

Alcohol Testing for Safety Sensitive Positions Requiring a Commercial Driver’s License. Failure to comply with the provisions of this program may result in the loss of authorized driver status and disciplinary action up to and including termination.

4. **Operator Rules of Conduct**

While driving a University vehicle, employees are engaged in an activity that represents the University to the general public. For many citizens this activity represents the only contact they will ever have with a University employee. Therefore, all authorized drivers are reminded how important it is to convey a positive, professional image. To help accomplish this important task in a responsible manner, drivers are expected to know and comply with all applicable state laws and University policies. Failure to comply with the provisions of this section may result is the loss of authorized driver status and disciplinary action up to and including termination.

5. **Vehicle Use Reports**

a. State law requires each driver of a state-owned vehicle to complete a daily report of use on the Monthly Vehicle Use Report form.

b. The report must show the number of trips, purpose for which the vehicle was used, miles traveled, amount and type of fuel purchased, oil added, passengers carried, and other information as may be required to provide a record of vehicle use and maintenance performed. Receipts for any vehicle purchases made, by the driver, from private sector vendors must be itemized and attached to the Monthly Vehicle Use Report form. The vehicle custodian is responsible for reporting these transactions, in the manner prescribed by the University, to the Fleet Manager.

c. If the vehicle is used by more than one operator during the day, each operator must enter his or her name into the report. If more than one page is required per month, a continuation page may be used.

d. After the close of the reporting period, the vehicle custodian will review the report for accuracy and sign in the designated space. Then, the completed report will be sent to the University’s Vehicle Fleet Manager. This report must be submitted no later than the fifth day of the following month.
6. **Accidents and Accident Reporting**

Accidents must be reported to the Office of the Controller within 24 hours of occurrence. The vehicle custodian is responsible for completing the appropriate report forms and forwarding them according to instructions issued by the Office of the Controller. Because these instructions are updated annually, the vehicle custodian is responsible for following instructions that are in effect at the time of the accident. On an annual basis, the vehicle custodian is responsible for making sure all vehicle operators receive training on the University’s practices and procedures that relate to accidents, accident prevention, behavior at the scene of an accident, supervisory notification and required documentation.

Any vehicle involved in an accident should receive a safety inspection before being returned to service. If the vehicle appears safe to drive, it should be driven to the vehicle shop on Manor Road or the shop at the Pickle Research Campus. If a wrecker is required to transport the vehicle, contact either shop and the staff will make the necessary arrangements. Upon delivery of the vehicle, shop staff will do everything possible to expedite the safety inspection and any required repairs so the vehicle may be returned to service as rapidly as possible.

When a University vehicle is damaged by a third party, the Fleet Manager will act as the University’s liaison between the owning department, the third party and/or their insurance company. The Fleet Manager will be responsible for filing and securing any vehicle damage repair settlement (not to be confused with a personal injury claim or settlement), and making sure all repairs are completed in accordance with accepted industry standards and to the satisfaction of the owning department.

7. **Travel Outside The Continental U. S.**

Anyone planning to travel outside the United States in a University vehicle must contact the Controller’s Office to secure proper insurance coverage and for other instructions related to Out-of-Country Use of a University Vehicle.

8. **Vehicle Security**

a. The security of University vehicles and their contents is the responsibility of the operator. Vehicles, when left unattended, must have the keys removed from the ignition,
the hand brake set and the vehicle locked.

b. Vehicles, that become disabled on the road, will be secured with all possible precautions taken to prevent theft or vandalism. In the event a vehicle cannot be secured in its present location, the driver will have the vehicle towed to a facility where it can be secured until assistance arrives. Under no circumstances should a vehicle be left along a highway or in any area where vandalism would be easily accomplished.

9. **Motor Vehicle Procurement**

All established University procurement and inventory practices and procedures apply to the procurement of vehicles. Properly prepared purchase orders are processed through the Purchasing Office. Requests for the sale or disposal of a vehicle are authorized and processed by the Office of the Controller. But, as noted in this Plan, these requests must be accompanied by a letter from the Vice President for Employee and Campus Services authorizing the request and indicating compliance with OVFM’s State Vehicle Fleet Management Plan.

10. **Transfers/Deletions**

Vehicles may be transferred from one agency to another or from one department to another within the University System with the approval of the Office of the Controller. Transfer vehicles must be in sound mechanical condition and not increase the receiving agency’s or department’s vehicle inventory unless documented approval is obtained from OVFM.

Additionally, any University vehicle to be transferred or deleted from the inventory must comply with established property management and inventory control procedures. The transferring department will provide a fully executed copy of applicable documentation to the University’s Vehicle Fleet Manager.

11. **Vehicle Purchases and Fleet Size**

Replacement vehicles may be purchased, on a one-for-one basis, without applying for a
waiver from the General Services Commission’s Office of Vehicle Fleet Management. Furthermore, the University will not replace donated vehicles using appropriated funds without OVFM approval.

The University will not increase the size of the vehicle fleet purchased with appropriated funds except in the case of legislatively mandated program changes, federal program initiatives or documented need resulting from program growth. Pursuant to the State Vehicle Fleet Management Plan, law enforcement vehicles and vehicles purchased with institutional funds will not be used in the calculation of the University’s fleet size.

The University’s Vehicle Fleet Manager must provide the General Services Commission’s Office of Vehicle Fleet Management with written notification of all vehicle replacements. The notification will include the following information for both the new vehicle and the vehicle that is being replaced: vehicle identification number, license plate number, year, make and model.

12. **Titles and Registration**

All original vehicle titles and registration receipts will be acquired and maintained by the Office of the Controller.

13. **Preventive Maintenance**

All University vehicles will be maintained using a preventive maintenance schedule for that type vehicle. All university vehicles must display a current State Safety Inspection sticker and any other decals required by law or the University. Under no circumstances will a University vehicle be placed in active service unless it is in good operating condition.

The University’s Fleet Manager is responsible for overseeing a preventive maintenance program that provides written notice to vehicle custodians when preventive maintenance is due. Failure to comply with preventive maintenance notices could result in losing the privilege to maintain the vehicle on the department’s inventory.

14. **Operator Maintenance Checks**

a. Drivers are responsible for inspecting their vehicle before and after operation. Any defect discovered during these inspections or while driving should be noted and reported to the
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Vehicle coordinator at the end of the day. Any deficiency that would cause further damage to the vehicle, render it unsafe, or present a hazard should be reported immediately. The vehicle should not be driven until necessary repairs are completed.

b. At a minimum, the following checks should be accomplished on a weekly basis: tire condition and pressure, leaks (any kind), engine oil level, all belts, all hoses, radiator coolant level, battery fluid level, hydraulic oil level, transmission oil level, lights/signals, fuel, windshield washer fluid level, valid state inspection certification, license plates front and rear, the complete University inscription on both sides of the vehicle and any other decals required by the University or owning department.

On a daily basis, with engine started, the driver should check: all gauges, brakes before moving, windshield wipers and horn.

Proper completion of these operational checks will reduce unnecessary breakdowns and/or damage to the vehicle. Failure to comply with the provisions of this section may result in the loss of authorized driver status and disciplinary action up to and including termination.

15. Vehicle Replacement Criteria

a. The following guidelines provide minimum replacement goals for the routine replacement of vehicles within the University fleet. Attainment of these goals should help minimize fleet capital and operating costs.

<table>
<thead>
<tr>
<th>Vehicle Type</th>
<th>Purpose</th>
<th>Replacement Goals</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sedans and wagons</td>
<td>Staff or client transport</td>
<td>Age or Mileage</td>
</tr>
<tr>
<td>Light trucks and SUV’s (8600 GVWR or below)</td>
<td>Basic transport, light hauling</td>
<td>6 years 100,000 miles</td>
</tr>
<tr>
<td>Passenger vans</td>
<td>Staff or client transport</td>
<td>6 years 100,000 miles</td>
</tr>
<tr>
<td>Cargo vans</td>
<td>Cargo hauling</td>
<td>8 years 100,000 miles</td>
</tr>
</tbody>
</table>

b. Most vehicles should be replaced when they reach 6 years (72 months) of service or 100,000 miles, whichever comes first. However, there may be circumstances in which vehicles may be replaced sooner (such as excessive maintenance or repair costs) or
University of Texas at Austin
Vehicle Fleet Management Plan

retained longer (such as unusually low maintenance costs).

c. Specialized equipment may not fall under any of the above categories and may need to be considered for replacement according to other criteria, such as hours in service. The fleet custodian should contact the Fleet Manager for assistance in developing and establishing special replacement criteria.

d. Additional criteria will include the department's compliance with the vehicle preventive maintenance program, vehicle appearance and timely submission of required monthly reports.

e. University departments should consider programming their vehicle replacement schedules in accordance with these goals and work to identify an adequate source of funds.

f. The number of vehicles owned by the University is frozen or set at the inventory level of record on August 31, 2000. Replacement vehicles may be purchased on a one-for-one basis with one vehicle being disposed of for every vehicle purchased. Additions to the number of vehicles owned will only be made for legislatively mandated program changes, federal program initiatives or a well documented need resulting from program growth or changes.

g. University policies are intended to ensure that each vehicle is maintained and operated in a safe and economical condition. If the University’s Fleet Manager determines that a vehicle is no longer safe to drive or is cost prohibitive to repair, the vehicle custodian will be notified that the vehicle should be removed from service and/or replaced.

h. Vehicle replacement criteria do not apply to the following:

(1) vehicles purchased with institutional funds;

(2) law enforcement vehicles, including pursuit vehicles;

(3) emergency vehicles;

(4) vehicles used for vehicle research purposes, such as alternative fuels and crash tests;

(5) heavy equipment, including tractors, bulldozers and highway construction and maintenance equipment;
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(6) vehicles designed to transport 15 passengers or more (provided the department has purchased such vehicles in the past);

(7) non-licensed utility purpose vehicles such as “mules,” “gators,” or golf-carts and forklifts;

(8) wrecked vehicles; and

(9) vehicles destroyed due to a natural disaster.

16. Minimum Use Criteria

a. The State of Texas Vehicle Fleet Management Plan provides the following utilization criteria:

<table>
<thead>
<tr>
<th>Period</th>
<th>Quarterly Mileage</th>
<th>Annual Mileage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sept. 1, 2000 – Aug. 31, 2001</td>
<td>1,750 miles every 3 months</td>
<td>7,000 miles</td>
</tr>
<tr>
<td>Sept. 1, 2001 – Aug. 31, 2002</td>
<td>2,250 miles every 3 months</td>
<td>9,000 miles</td>
</tr>
<tr>
<td>Sept. 1, 2002 – Aug. 31, 2003</td>
<td>2,750 miles every 3 months</td>
<td>11,000 miles</td>
</tr>
</tbody>
</table>

b. The only exemptions to the minimum use criteria are:

(1) vehicles with a manufacturer’s gross vehicle weight rating (GVWR) of more than 8,600 pounds;

(2) law enforcement vehicles;

(3) vehicles purchased with non-appropriated funds or vehicles purchased with federal funds for specific federal projects;

(4) service vehicles operated under waivers granted by OVFM.

c. Beginning October 30, 2001, the State Office of Vehicle Fleet Management will distribute an agency-specific report (based on fleet data) identifying vehicles that have accumulated less than the minimum mileage for the past three months of operation. As noted in the table above, the minimum mileage criteria will be increased over a three-year period.
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(1) Upon receipt of the report, the University’s Fleet Manager will notify the affected department.

(2) Should a department wish to apply for a waiver from the minimum utilization requirement, that department’s vehicle custodian will have 20 days, from the report date, to submit required justification. Justification letters should be forwarded to the Fleet Manager for processing with OVFM. The types of justification information that may be submitted include but, are not limited to:

(a) how does the vehicle help accomplish the mission of the agency;

(b) what services are provided with the vehicle;

(c) what is the core function of the vehicle and how does this relate to the core function of the University;

(d) if the vehicle is campus-based or used locally, how many trips per day or days per month is the vehicle used;

(e) how many passengers per month are carried; and

(f) what is the cost to rent or lease a comparable vehicle for the same number of days or trips compared to the cost of ownership.

(3) Upon receipt of documentation from the affected department, the University’s Fleet Manager will review the documents for compliance with OVFM’s requirements and attach a recommendation for approval or disapproval. Then the departmental request and Fleet Manager’s recommendation will be forwarded through the Director of Physical Plant to the Vice President for Employee and Campus Services for final approval and submission to OVFM. These documents must be submitted, no later than 30 days from the original report date, to the State Office of Vehicle Fleet Management for their review and consideration.

(4) After completing their review, OVFM will provide one of the following responses:

(a) If OVFM does not agree with the University’s justification, they will provide the University and Council on Competitive Government with written documentation identifying the specific vehicle and reason for the waiver denial.
The University will have six months from the notification date to increase that vehicle’s use so that it meets the minimum mileage criteria in effect at that time. If at the end of this six-month period, the vehicle in question still does not meet the minimum mileage criteria, the OVFM will identify the vehicle as excess and notify both the University and Council on Competitive Government. Vehicles identified as excess will be prepared and assigned for sale, through the University’s Surplus Property department, at the next public auction.

Funds from the sale of these vehicles will be deposited in the individual departmental accounts used to originally purchase that vehicle.

(b) OVFM may provide waivers for specified time periods for individual vehicles based on their agreement and acceptance of the justification submitted by the University. No waivers will be granted for vehicles designated as poolable that do not meet the minimum use criteria.

(c) The General Services Commission’s Office of Vehicle Fleet Management may grant a lifetime waiver to a vehicle that is deemed so unique in its function or design that the University is unable to use that vehicle for any other purpose or to rotate the vehicle within the fleet.

17. Departmental Vehicle Coordinators

All vehicle custodians (department heads/directors/deans/chairpersons) are responsible for establishing at least one vehicle coordinator within their areas of responsibility. The name and contact information for the vehicle coordinator must be provided to the University’s Fleet Manager. The vehicle coordinator will be the main departmental contact for the Fleet Manager.

18. Training

The University’s Vehicle Fleet Manager will provide reporting compliance training, as required, for any vehicle custodian, vehicle coordinator or driver.

19. Fleet Fueling Policy

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a. Unless specifically prohibited by the vehicle’s manufacturer warranty or recommendations, all vehicles operating on gasoline must use regular grade, unleaded gasoline. Except as noted, high-octane blends (marketed as premium, super-unleaded, etc.) offer no proven benefit and are prohibited for use in University vehicles.

b. Vehicles capable of using alternative fuels will use them exclusively. Exceptions are: 1) where and when alternative fuel is not available; 2) the range of alternative fuel is insufficient to complete a round trip, in which case the alternative fuel shall be used until exhausted, with conventional fuel used only to complete the trip or until the alternative fuel is available; 3) when alternative fuel costs are more than conventional gasoline or diesel; 4) when the conversion equipment is not working or is unsafe to operate, in which case repairs or inspections shall be made so that the vehicle may continue to operate on the alternative fuel; 5) when operating exclusively on an alternative fuel is contrary to the vehicle manufacturer or alternative fuel conversion equipment vendor recommendations; and 6) other exceptions granted under the GSC waiver processes.

c. University vehicle operators will use self-service islands only when refueling at retail fueling stations.

d. OVFM requires the use of state retail fuel contracts administered by GSC. Vehicle custodians are required to eliminate the use of any retail fuel card, other than those authorized by OVFM. Contact the Fleet Manager for applications and assistance in securing these cards. Exceptions to the use of retail state fuel contracts require a waiver from the OVFM. Please note, fuel cards issued by the Fleet Manager for use in University owned facilities are exempt from this requirement.

20. Vehicle Assignments and Fleet Consolidation

a. Routine assignment of a vehicle to any University official or employee on a daily basis is prohibited without written documentation that the assignment is critical to the mission of the University.

Any vehicle assigned to a University official or employee must be authorized, in writing, by the Vice President for Employee and Campus Services. To gain authorization, the vehicle custodian must forward an authorization request to the University’s Fleet Manager providing the following documentation:
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(1) vehicle identification number, license plate number, year, make, model;

(2) name and position of the individual to whom the vehicle is assigned; and

(3) reason the assignment is critical to the mission of the University.

Upon receipt of required documentation, the University’s Fleet Manager will review the documents for compliance with OVFM’s requirements and attach a recommendation for approval or disapproval. Then the departmental request and Fleet Manager’s recommendation will be forwarded through the Director of Physical Plant to the Vice President for Employee and Campus Services for final approval. Within 30 days of the date of the request, the department will be informed of the Vice President’s decision.

b. Vehicles may be assigned to a field employee. Field employees are those employees whose regular duties require work in various locations and who regularly require a vehicle for ongoing daily duties.

c. All other University vehicles will be pooled by department. Pool vehicles must be available for checkout as needed and must be used over other options, including rental vehicles and employee reimbursement for use of personal vehicles.

Consistently underused pool vehicles must be rotated to increase the average miles driven and meet OVFM’s minimum utilization requirements or be sold as surplus.

21. Responsibilities

a. The Vice President for Employee and Campus Services is the senior executive responsible for the University’s fleet management program.

b. The Director of Physical Plant is responsible for authorizing the Fleet Manager’s administrative recommendations and forwarding them to the Vice President for Employee and Campus Services.

c. Office of the Controller provides the following services:
(1) processing appropriate paperwork to acquire and track vehicle titles, license plates and alternative fuels tax decals;
(2) processing driver’s license checks on all University approved drivers and reporting the results to the individual departments;
(3) preparing, tracking and renewing alternative fuel waivers;
(4) granting departmental authority for the disposal and sale of all vehicles;
(5) the electronic submission of vehicle use report data and information to OVFM as required; and
(6) coordinates the settlement of all university vehicle insurance claims.

d. The University’s Vehicle Fleet Manager is responsible for:

(1) maintaining compliance with state mandates governing vehicle fleet management;
(2) monthly collection and data entry of vehicle use report information and vehicle specific information into the vehicle fleet database;
(3) planning, directing, managing, coordinating and supervising programs for the acquisition, assignment, utilization, maintenance and repair, replacement and disposal of the vehicle fleet;
(4) serving as primary contact for the General Services Commission’s Office of Vehicle Fleet Management;
(5) developing and implementing University level policies and procedures related to vehicle fleet management;
(6) observing and enforcing, at the University level, the statewide vehicle fleet management policies and procedures;
(7) coordinating purchase waivers from the General Services Commission’s Office of Vehicle Fleet Management; and
(8) review and recommendation for approval/disapproval of all vehicle purchases, transfers and deletions;

e. The Vehicle Custodian is responsible for:

(1) being a good steward of University vehicles and managing them accordingly;
(2) appointing a departmental vehicle coordinator and providing vehicle fleet management with the name and contact information;
(3) routine inspection of vehicles to ensure operational condition and the required vehicle insignia is complete and visible on both sides of the University vehicle;
(4) ensuring all vehicle damage and repairs are corrected as needed;
(5) programming and identifying funds to replace vehicles when required;
ensuring departmental compliance with the required University preventive maintenance program;

(7) ensuring University vehicles are used for their intended purpose;

(8) coordinating all vehicle requisitions through the University’s Fleet Manager;

(9) coordinating all vehicle transfers and deletions through the University’s Vehicle Fleet Manager and Office of the Controller;

(10) rotating and/or eliminating vehicles with low utilization;

(11) being knowledgeable of all vehicle fleet management policies and procedures; and

(12) ensuring compliance with the established vehicle fleet management program.

Non-compliance with this section may result in the loss of University vehicle privileges.

f. The Vehicle Coordinator is responsible for:

(1) accumulating and assuring mileage information on vehicle use reports is maintained accurately;

(2) accumulating and assuring fuel information on the vehicle use reports is maintained accurately;

(3) accumulating and assuring maintenance information on the vehicle use reports is maintained accurately;

(4) accumulating and providing any other information required by the University’s Fleet Manager;

(5) ensuring vehicle use reports are accurately maintained;

(6) retaining accurate files on University vehicles for reference should the need arise;

(7) submitting the original vehicle use report to the University’s Fleet Manager no later than the 5th of each month reporting the previous month’s vehicle activities;

(8) keeping the University’s Fleet Manager advised of any transfers or deletions to the vehicle fleet by providing a copy of the official form submitted to property inventory;

(9) coordinating all vehicle requisitions through the University’s Fleet Manager and purchasing;

(10) advising the University’s Fleet Manager of all vehicle accidents/incidents that occur and providing a copy of the vehicle accident package and police report;

(11) being familiar with and communicating established vehicle fleet management policies and procedures to applicable parties within their department; and
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(12) ensure all drivers have received appropriate vehicle operator training.

g. The Vehicle Operator is responsible for:

(1) completing vehicle use reports on a daily basis in an accurate manner;
(2) identifying any mechanical problems associated with the vehicle they are operating and scheduling repair of same;
(3) reporting accidents immediately to supervisor and assisting with the completion of vehicle accident paperwork;
(4) following all state laws associated with vehicle operation;
(5) operating only vehicles they are authorized to use;
(6) maintaining a valid driver’s license;
(7) using University vehicles for official University business only;
(8) keeping the vehicle secured when not in operation;
(9) ensuring the state inspection is current;
(10) completing daily and weekly vehicle maintenance checks to ensure the vehicle is in good operating condition; and
(11) complying with the University vehicle fleet management program;
Appendix C

Acknowledgement of General Field Hazards and Policies
Appendix C: Acknowledgement of General Field Hazards and Policies

Because scientists and students on NHERI@UTexas experiments are exposed to a variety of situations and experiences that are different from those found in the classroom, office, or lab, special rules of conduct are necessary. Traveling and field work involve hazards and risks, so each person must exercise care to avoid personal injury to others. Examples of dangers specific to field work are the use of heavy equipment, high pressure hydraulic systems, geologic picks, poisonous snakes, tick bites, and toxic plants, falling or slippery rocks encountered when hiking on steep slopes or crossing streams. Other dangers, as well as damage to property, may be created by carelessness. A NHERI@UTexas experiment may involve access to certain private properties and use of private facilities. Continued access to these properties will depend upon proper consideration for these resources by everyone involved. Researchers or students who abuse NHERI@UTexas or other property during a field experiment, or who jeopardize the health and safety of other people, will be required to leave immediately. The Safety Officer (Chief Engineer) has the authority to make this decision. NHERI@UTexas has the following rules and recommendations which apply to field activities.

1. Release, Waiver and Indemnity Agreement. A release is to be signed by all participants. Minors (under the age of 18) must have a parental form signed.

2. Medical Care. A medical form must be filled out by all participants. Any participant who has medical problems (e.g. asthma, diabetes, metabolic disorders, allergies, trick knees) should so inform the Chief Engineer. If you require special medications, it is your responsibility to insure that they are available when needed. Field activities are sometimes in very remote areas and immediate medical assistance is not possible.

3. Every participant must have medical insurance, and provide information on the carrier to the Chief Engineer.

4. Clothing and protective cover. Wear suitable clothes, and bring a hat. Long pants, and boots are essential in some areas. Open-toed shoes (sandals, thongs, bare feet) are not acceptable during a field experiment. Field dangers such as sunstroke, insect bites, and encounters with cacti or thorny shrubs can be prevented by proper clothing. Insect repellent and sunscreen are often required. Consider significant possibilities of rain or cold weather.

5. General field hazards. Insects, poisonous snakes, and toxic plants may be found on any field experiment. Wearing suitable clothing and boots helps reduce these hazards. Remember to check yourself for ticks, which can transmit diseases such as Rocky Mountain spotted fever, Lyme disease, etc. Ticks should be removed immediately; be sure to remove the body with head intact. Do not use a match to kill the tick first. Watch for, don't play with, and avoid snakes. If you are allergic to such things as bee stings, you must bring appropriate medication. Participants are expected to stay out of the water if they cannot swim; stay out of thunderstorms, particularly at high elevations, and out of flash flood-prone areas in any rain. Use common sense in climbing in areas with cliffs.
6. Head and eye protection. Use eye protection when using, or are around a geologic pick, hammer, cone penetrometer, or other tools and equipment. Hard hats should be used in mines, quarries, steep road cuts, or other areas where rock falls or blows to the head could occur; some sites may require these protective devices. Safety goggles and hard hats will be available as part of the equipment contained in the instrumentation van.

7. Firearms. Possession of firearms or facsimiles at any time during any field experiment is forbidden.

8. Drugs, tobacco, alcohol, and driving restrictions. Alcoholic beverages may not be consumed at any time while traveling in the vehicles nor during any activity in which the vehicles are operating in a stationary mode. Drivers may not consume alcoholic beverages prior to driving. Only UT employees are permitted to drive or operate the vehicles. Smoking is not permitted in any UT vehicle. Use or possession of illegal drugs at any time is also forbidden.
TO:  Chief Engineer, NHERI@UTexas

I have read the "Acknowledgement of General Field Hazards and Policies ". I understand the requirements and conditions stated therein, and agree to abide by these regulations and University regulations. I agree to hold harmless and indemnify The University of Texas at Austin or the faculty/staff of the University for illnesses contracted or for injuries sustained or caused by me while participating in the field experiment.

In case of accident, injury, or illness, I hereby authorize you to arrange for emergency medical care and to notify the person named below.
IN CASE OF AN EMERGENCY, NOTIFY:
Name: ________________________________________
Address: _______________________________________
Phone(s) (___) ______________________________

Signature and Date ___________________________________
Printed Name___________________________________
Home Address and Institution__________________________

MEDICAL FORM

List any special medication that you are allergic to, or other special medical problems which the Chief Engineer should be aware of:

Provide the name of your medical insurance carrier, group number, identification number, and telephone numbers of the carrier and your primary care physician (if applicable).

Provide the name of your dentist, and dental insurance information (if applicable):

__________________________________________________________________

SIGNATURE

__________________________________________________________________

NAME PRINTED

__________________________________________________________________

DATE
Appendix D

General Safety Information and Procedures for Working with Hydraulic Shaker

(Provided by Industrial Vehicles International, Inc.)
SAFETY
GENERAL SAFETY INFORMATION AND PROCEDURES

INTRODUCTION

IVI has prepared the following Information for the benefit of everyone whose responsiblity Is to service, maintain and operate any IVI equipment. It is Important that these people read both these recommendations and other manuals before they begin to operate this equipment.

MAINTENANCE WITHOUT ACCIDENT

Every employer has a safety program. Know what it is. Consult your supervisor for specific instructions on a job, and the safety equipment required. For instance, you may need: hard hat, safety shoes, safety goggles, heavy gloves, reflector vests, ear protectors, or respirators.

Always avoid loose clothing or any accessory - flopping cuffs, dangling neckties and scarves, or rings and wristwatches - that can catch In moving parts and put you out of work.

Be alert ! Plan ahead - Work safely - Avoid accidental damage and injury. If a careless moment does cause an accident or fire, react quickly with the tools and skills at hand. Know how to use a first aid kit and a fire extinguisher, and where to get aid and assistance. In an emergency, split second action Is the key to safety.

Specific safety procedures should always be observed, whether servicing or making repairs on this equipment. Remember these - it can prevent an injury ... or save your life !

AVOID FIRE HAZARDS

Don't smoke while refueling, Don't smoke while handling highly flammable material. Don't smoke near the vehicle battery.

The engine should be shut off when refueling.

Don't use open pans of gasoline or diesel fuel for cleaning parts. Good commercial, nonflammable solvents are preferred.

Battery gas Is highly flammable! Provide adequate ventilation when charging batteries.

Don't check battery charge by placing metal objects across the posts.

Don't allow sparks or open flame near batteries.

A flame Is not a flashlight. Never check fuel, battery electrolyte or coolant levels with an open flame. Never use an open flame to check for leaks anywhere on the equipment. Never use an open flame as a lamp anywhere on or around the equipment.

Always know where fire extinguishers are kept!
MAINTENANCE CONDITIONS

Always block the wheels and set the parking brake before working on the equipment.

Do not perform any work on this equipment unless authorized to do so. Then be sure you understand the services required. Follow recommended procedures.

Never service the equipment while it is being operated. This is automatic equipment and may start at any time without warning. Always lock out the controls before servicing. Know where the emergency shutdown switches are located.

Never allow anyone to walk under equipment that is raised and not properly blocked.

Avoid working directly under raised and blocked equipment.

Use hoisting equipment for lifting heavy parts. Take care! Watch out for other people in the vicinity.

Use extreme caution in removing drain plugs, grease fittings, or hydraulic pressure caps.

Wear safety glasses when drilling, grinding, or hammering metal.

Make sure the maintenance area is adequately ventilated.

Keep maintenance area clean and dry. Oily and wet floors are slippery; greasy rags are a fire hazard; wet spots are dangerous when working with electrical equipment.

SERVICING PRECAUTIONS

Don’t forget that the hydraulic system may be pressurized, even though it has been turned off.

When checking hydraulic pressure, be sure to use the correct gauge for the pressure in that particular system.

Some equipment is equipped with an accumulator. Recharge accumulators using only dry nitrogen. Discharge the accumulator before disassembly.

Keep all components free of dirt and oil. This attention will minimize fire hazards and facilitate spotting of loose or defective parts.

ADJUSTING PRECAUTIONS

Keep brake control units properly adjusted at all times. Before making adjustments, stop engine.

Before removing any housing covers, stop engine.

Don’t attempt to check belt tension while the engine is running.

Don’t adjust the fuel system while the machine is in motion.
Always wear gloves when handling cable.

Take all objects from your pockets which could fall into the opened housings. Don’t let adjusting wrenches fall into opened housings.

Never let your bare hands come in contact with sharp edges. Wear gloves!

**KNOW EQUIPMENT IS READY!**

Check guards, canopies, safety bars and all protective devices installed on the equipment. Everything should be in place and secure:
- Emergency shutdown switches
- Guards
- Canopies
- Shields
- Protective Devices
- Fire Extinguisher
- Fire Suppression Systems, Etc.

Carefully inspect equipment for visual defects - leaks in the fuel, lubrication, and hydraulic systems. Do not search for pressurized fluid leaks with your hands. Use cardboard or wood to search for the leaks.

Check levels of fuel, coolant, hydraulic fluid, and lubricating oil. If fuel must be added, do not smoke!

Check and secure all caps and filler plugs for fuel, oils, radiator, etc.

Be sure to clean any oil, grease or mud accumulation from floor of operator’s compartment, stepping points, and grab rails to minimize the danger of slipping.

In freezing weather beware of snow or ice deposits on stepping points, grab rails, and floor.

Remove loose bolts, tools, or other objects from the floor of the operator’s compartment.

**SUMMARY**

Although it is impractical to try to cover every possible maintenance situation, the safety precautions recommended here should serve to develop and promote safe maintenance procedures.

The information contained in this manual is not intended to replace safety codes, insurance requirements, federal, state, and local laws, or rules and regulations. In particular, your service area or job site activities may be subject to state safety rules and/or federal regulation under the Occupational Safety and Health Act (OSHA). Familiarize yourself with all regulations applicable to your situation in order to avoid possible safety violations.

This equipment cannot be modified in any way without written permission from IVI.
Appendix E

On-Site Shaker Safety Training Statement
E.1 INTRODUCTION
This statement is prepared for the on-site safety training for non-NHERI@UTexas personnel who will work within 100 ft radius of the NHERI@UTexas hydraulic shakers. The hydraulic vibrators of NHERI@UTexas operate with pressures up to 4,000psi, and can output a ground force as high as 60,000 lbs. Additional training is required for people who work around the shaker. On-site shaker safety training will generally take 20 minutes. The following topics will be covered in the training.

E.2 UNDERSTANDING MECHANISM AND POTENTIAL HAZARDOUS OF HYDRAULIC SHAKERS

Hydraulic shakers are driven by pressurized hydraulic oil. Pressurized hydraulic oil is alternatively directed into different side of the actuator by a servo-valve and causes the reaction mass to oscillate up and down (or side to side). The pressurized hydraulic oil of the T-Rex and Liquidator is at a pressure of 3,000 psi, and the pressurized hydraulic oil of the Thumper is at a pressure of 4,000 psi. The high pressure accumulator is located close to the shaker on the driver side of the vehicle. It is important to stay in the passenger side (low pressure side) of the vehicle when the vibrator is in operation.

Components of the vehicle are under high hydraulic pressure and strong vibration for a very long period of time. Although it is rare, but from time to time, component can fail and blow away from the shaker. The distance that a flying failed-component can reach can be as far as 100 ft. Also, in a hot summer day, the hydraulic fluid can reach a temperature as high as 170°F (~77 °C). If a component failed, people around the vehicle can be injured by both the flying component and the spilling hydraulic fluid. It is important to stay alert at all time while working around the vehicle. The second potential hazardous is losing balance during vibration. It is important to stay at least 10 ft away from the shaker during vibration.

In this training section, Chief Engineer should identify the follow components to the trainee.

a. Shaker reaction mass
b. Shaker base plate
c. High pressure accumulator
d. Vehicle engine
e. Drivers blind spots

For your safety:

a. Make sure the driver can see you.
b. Stay in the passenger side (low pressure side) of the vehicle when the vibrator is in operation.
c. Do not stand within 10 ft radius of the shaker while the engine has been turned on.
d. Do not expose any body part in the path of the shaker reaction mass, shaker base plate, or the vehicle.
e. Be alert while working around the vehicle.

E.3 CLOTHING
- You must wear long pants, hard hat, safety shoes, safety goggles, and ear protectors while working around (within 100 ft radius) the shaker. Open-toed shoes (sandals, thongs, and bare feet) are never acceptable around the shaker.
- Always avoid loose clothing or any accessory - flopping cuffs, dangling neckties and scarves - that can catch in moving parts and put you out of work.

Both Chief Engineer and Chief Scientist have the authority and the responsibility to ask anyone who does not dress appropriately to leave the test site immediately.

E.4. AVOID FIRE HAZARDS
- Always know where fire extinguishers are kept!
- No smoking around the vehicle.
- The engine should be shut off when refueling.
- Don't use open pans of gasoline or diesel fuel for cleaning parts.
- Provide adequate ventilation when charging batteries.
- Don't check battery charge by placing metal objects across the posts.
- Don't allow sparks or open flame near batteries.
- A flame is not a flashlight. Never check fuel, battery electrolyte or coolant levels with an open flame. Never use an open flame to check for leaks anywhere on the equipment. Never use an open flame as a lamp anywhere on or around the equipment.

E.5. SUMMARY

Be alert! Plan ahead - Work safely - Avoid accidental damage and injury. If a careless moment does cause an accident or fire, react quickly with the tools and skills at hand. Know how to use a first aid kit and a fire extinguisher, and where to get aid and assistance. In an emergency, split second action is the key to safety. Specific safety procedures should always be observed, whether servicing or making repairs on this equipment. Remember these - it can prevent an injury ... or save your life!
TO: Chief Engineer, NHERI@UTexas

I have attended the on-site shaker safety training, and read the "NHERI@UTexas On-Site Shaker Safety Training Statement". I understand the mechanism and potential hazardous of hydraulic shakers, clothing requirement, and fire prevention procedures stated therein, and agree to abide by these regulations and University regulations. I agree to hold harmless and indemnify the University of Texas at Austin or the faculty/staff of the University for illnesses contracted or for injuries sustained or caused by me while participating in the field experiment.

In case of accident, injury, or illness, I hereby authorize you to arrange for emergency medical care and to notify the person named below.

IN CASE OF AN EMERGENCY, NOTIFY:
Name: ________________________________________
Address: ______________________________________
Phone(s) (_____) ______________________________

Signature and Date ___________________________________
Printed Name_______________________________________
Home Address and Institution___________________________

______________________________________________________________________________
Appendix F

Forms
<table>
<thead>
<tr>
<th>Loss</th>
<th>Contact with Energy or Substance</th>
<th>Substandard Act or Condition</th>
<th>Basic Causes</th>
<th>Remedial Actions to be Taken</th>
</tr>
</thead>
<tbody>
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</table>

PREPARED BY ______________________________________________________

Form UTNHERI.AA2016
**NHERI@UTexas Accident Investigation Report**

**Instructions:** Complete this form as soon as possible after an incident that results in serious injury or illness. (Optional: Use to investigate a minor injury or near miss that could have resulted in a serious injury or illness.)

<table>
<thead>
<tr>
<th>This is a report of a:</th>
<th>☐ Death</th>
<th>☐ Lost Time</th>
<th>☐ Dr. Visit Only</th>
<th>☐ First Aid Only</th>
<th>☐ Near Miss</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date of incident:</td>
<td>This report is made by:</td>
<td>☐ Employee</td>
<td>☐ Supervisor</td>
<td>☐ Team</td>
<td>☐ Other_________</td>
</tr>
</tbody>
</table>

### Step 1: Injured employee (complete this part for each injured employee)

<table>
<thead>
<tr>
<th>Name:</th>
<th>Sex: ☐ Male ☐ Female</th>
<th>Age:</th>
<th>Department:</th>
<th>Job title at time of incident:</th>
<th>Part of body affected: (shade all that apply)</th>
<th>Nature of injury: (most serious one)</th>
<th>This employee works:</th>
<th>Months with this employer</th>
<th>Months doing this job:</th>
</tr>
</thead>
<tbody>
<tr>
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</tr>
</tbody>
</table>

### Step 2: Describe the incident

<table>
<thead>
<tr>
<th>Exact location of the incident:</th>
<th>Exact time:</th>
</tr>
</thead>
<tbody>
<tr>
<td>What part of employee’s workday?</td>
<td>☐ Entering or leaving work ☐ Doing normal work activities ☐ Working overtime ☐ Other______________</td>
</tr>
<tr>
<td>☐ During meal period</td>
<td>☐ During break</td>
</tr>
</tbody>
</table>

Names of witnesses (if any):
**Number of attachments:** Written witness statements: Photographs: Maps / drawings:

What personal protective equipment was being used (if any)?

Describe, step-by-step the events that led up to the injury. Include names of any machines, parts, objects, tools, materials and other important details.

Description continued on attached sheets: 

### Step 3: Why did the incident happen?

<table>
<thead>
<tr>
<th>Unsafe workplace conditions: (Check all that apply)</th>
<th>Unsafe acts by people: (Check all that apply)</th>
</tr>
</thead>
<tbody>
<tr>
<td>✧ Inadequate guard</td>
<td>✧ Operating without permission</td>
</tr>
<tr>
<td>✧ Unguarded hazard</td>
<td>✧ Operating at unsafe speed</td>
</tr>
<tr>
<td>✧ Safety device is defective</td>
<td>✧ Servicing equipment that has power to it</td>
</tr>
<tr>
<td>✧ Tool or equipment defective</td>
<td>✧ Making a safety device inoperative</td>
</tr>
<tr>
<td>✧ Workstation layout is hazardous</td>
<td>✧ Using defective equipment</td>
</tr>
<tr>
<td>✧ Unsafe lighting</td>
<td>✧ Using equipment in an unapproved way</td>
</tr>
<tr>
<td>✧ Unsafe ventilation</td>
<td>✧ Unsafe lifting</td>
</tr>
<tr>
<td>✧ Lack of needed personal protective equipment</td>
<td>✧ Taking an unsafe position or posture</td>
</tr>
<tr>
<td>✧ Lack of appropriate equipment / tools</td>
<td>✧ Distraction, teasing, horseplay</td>
</tr>
<tr>
<td>✧ Unsafe clothing</td>
<td>✧ Failure to wear personal protective equipment</td>
</tr>
<tr>
<td>✧ No training or insufficient training</td>
<td>✧ Failure to use the available equipment / tools</td>
</tr>
<tr>
<td>✧ Other: ________________________</td>
<td>✧ Other: ________________________</td>
</tr>
</tbody>
</table>

Why did the unsafe conditions exist?

Why did the unsafe acts occur?

Is there a reward (such as “the job can be done more quickly”, or “the product is less likely to be damaged”) that may have encouraged the unsafe conditions or acts?  
[ ] Yes  [ ] No

If yes, describe:

Were the unsafe acts or conditions reported prior to the incident?  
[ ] Yes  [ ] No
Have there been similar incidents or near misses prior to this one?  

- Yes
- No

**Step 4: How can future incidents be prevented?**

What changes do you suggest to prevent this incident/near miss from happening again?

- Stop this activity
- Guard the hazard
- Train the employee(s)
- Train the supervisor(s)
- Redesign task steps
- Redesign work station
- Write a new policy/rule
- Enforce existing policy
- Routinely inspect for the hazard
- Personal Protective Equipment
- Other: ________________

What should be (or has been) done to carry out the suggestion(s) checked above?

Description continued on attached sheets:  

**Step 5: Who completed and reviewed this form? (Please Print)**

<table>
<thead>
<tr>
<th>Written by:</th>
<th>Title:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Department:</td>
<td>Date:</td>
</tr>
</tbody>
</table>

Names of investigation team members:

<table>
<thead>
<tr>
<th>Reviewed by:</th>
<th>Title:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date:</td>
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</table>
NHERI@UTexas Accident Report Form

Upon completion this form must be emailed or faxed as soon as possible within 24 hours to the NHERI@UTexas Operations Manager and HR Manager along with any available related documentation detailing treatment, hospital admission, property damage, etc.

Operations Manager: Dr. Farn-Yuh Meng  email: fymenq@utexas.edu  phone: (512) 232-2049  fax: (512) 471-6548
HR Manager: Alicia Zapata  email: alicia@mail.utexas.edu  phone: (512) 232-3682  fax: (512) 471-6548

Status (check one): __ Employee __ Collaborator __ Student worker __ Visitor __ Other, please explain: ____________________________________________________________

Name of Injured Party: ____________________________________________________________

Injured Party Phone: (      )_____-________   Affiliation: ______________________________

Date of Injury: _____/_____/_______      Time: __________________

Location of incident: ____________________________________________________________

Give full details of how injury/accident occurred:

What part of body was injured?

Did injured party receive first aid?   Yes ___  No ___
If yes, administered by who: ____________________________

Were there any witnesses to the accident?   Yes ___ No ___
If yes, please provide names, addresses, and phone number: ______________________

Did injured party see a Doctor or go to a Hospital for treatment?   Yes ___  No ___
If yes, list provider's name, address, and phone number: ____________________________

If seen by Doctor or Hospital, what type treatment was received?

(See reverse side)

Form UTHERI.AR2016
EMPLOYEE/STUDENT WORKER ONLY

Has injured employee lost time from work?  Yes ___  No ___
If yes, when did lost time begin?  __________________________

Has employee returned to work?  Yes ___  No ___
If yes, when did employee return?  __________________________

Name and phone number of supervisor:

When did supervisor first learn of this injury?

Date:  ____/____/______  Supervisor's signature:  _________________________
Supervisor's email:  ____________________________________________________

TO THE INJURED PARTY: READ THE FOLLOWING STATEMENT CAREFULLY:
I hereby certify that all the above statements are true. I hereby authorize all
doctors presently involved or who may become involved in consultation and/or
treatment of me for the above-named accident injury to release all information
regarding said accident/injury to the University of Texas at Austin. Further, I
hereby authorize any party receiving medical information regarding the above
accident/injury to release such information to the University of Texas at Austin.

_________________________  ____________________________
Date  Injured Party's Signature

If filled out by other than the injured party, please sign and date:

Name (please print):  _____________________________  Position:  __________________
Date  ____/____/________  Signature:  ________________________________

Form UTNHERI.AR2016
PI and/or Chief Engineer, please perform a site safety survey and discuss procedures and emergency plans with all personnel on-site. After completion of the survey and meeting with personnel, please have all personnel in attendance sign that they were present and agree to adhere to all safety precautions.

<table>
<thead>
<tr>
<th>Site safety concerns:</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Heavy equipment operations</td>
<td>___</td>
<td>___</td>
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<tr>
<td>Trip hazards</td>
<td>___</td>
<td>___</td>
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<tr>
<td>Off-limits areas defined</td>
<td>___</td>
<td>___</td>
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<tr>
<td>Surrounding structures</td>
<td>___</td>
<td>___</td>
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<tr>
<td>Proper PPE for location and activities</td>
<td>___</td>
<td>___</td>
</tr>
<tr>
<td>Location of safety gear, PPE and fire extinguishers</td>
<td>___</td>
<td>___</td>
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<tr>
<td>Local fire, police, EMS numbers and location</td>
<td>___</td>
<td>___</td>
</tr>
<tr>
<td>Nearest hospital or first aid station</td>
<td>___</td>
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<tr>
<td>Safest place to meet in the event of a tornado or other natural disaster</td>
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__________________________________________________________
Site Safety Coordinator Date

__________________________________________________________
Chief Engineer Date

__________________________________________________________
Principal Investigator Date
<table>
<thead>
<tr>
<th>Personnel in Attendance</th>
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Chief Engineer: __________________________Date: __________________

Principal Investigator: __________________________Date: __________________
NHERI@UTexas Tailgate Safety Meeting Form

This form should be used to register attendance and topics for a safety meeting involving all personnel, to be conducted at the beginning of each day of field project operations.

Location of work: _________________________________________________________________

Description of work: ______________________________________________________________

Project Supervisor: ___________________________  Date: __________________

Hazards present:  Control measures:

<table>
<thead>
<tr>
<th>Hazards present</th>
<th>Control measures</th>
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Personnel in attendance (please print and sign name):

1) ______________________________________________________________________________
2) ______________________________________________________________________________
3) ______________________________________________________________________________
4) ______________________________________________________________________________
5) ______________________________________________________________________________
6) ______________________________________________________________________________
7) ______________________________________________________________________________
8) ______________________________________________________________________________
9) ______________________________________________________________________________
10) ______________________________________________________________________________
11) ______________________________________________________________________________
12) ______________________________________________________________________________

Form UTNHERI.TM2016
NHERI@UTexas Daily Vehicle Inspection Checklist

Prior to operating any University of Texas vehicle, a daily safety inspection shall be conducted according to the following criteria.

Vehicle Description: ______________________________________________________________

Name of Operator: ___________________________ Date: __________________

[ ] Mileage log with credit card, insurance paperwork and Accident Report Form [UTNHERI.AR2016] present

[ ] Fuel level sufficient for day’s activity

[ ] Visual inspection of tire condition and pressure

[ ] Headlight and taillight test

[ ] Trailer light test (if present)

[ ] Trailer air line inspection (if present and applicable)

[ ] Isolation bag visual inspection and pressure check (if applicable)

[ ] Oil reservoir check (if applicable)

Any problems observed in the vehicle inspection will be entered into the vehicle's logbook. The operator of the vehicle is responsible for communicating the problem to the Chief Engineer or Operations Manager and either taking appropriate corrective action to return the vehicle to a safe operating condition, or halting use of the vehicle until sufficient corrective action has been taken.

Notes:

__________________________________________________________________________________________

Form UTNHERI.VD2016
NHERI@UTexas Pre-Trip Vehicle Inspection Checklist

Prior to the start of any long-distance trip of greater than 50 miles, a pre-trip inspection shall be conducted according to the following criteria.

Vehicle Description: _______________________________________________________________________________

Destination: _____________________________________________  Project: ________________________________

Name of Operator: _________________________________________________  Date: _______________________

[ ] Mileage log with credit card, insurance paperwork and Accident Report Form [UTNHERI.AR2016] present

[ ] Fire extinguisher present and charged

[ ] Jack and spare tire present and in working condition

[ ] Fuel level sufficient for day’s activity

[ ] Oil level good

[ ] Coolant level good

[ ] Power steering fluid level good

[ ] Belts and hoses in good condition

[ ] Visual inspection of tire condition, tire pressure test

[ ] Headlight, taillight, turn indicator and license plate light test

[ ] Windshield wipers in good condition

[ ] Horn functional

[ ] Trailer light test (if present)

[ ] Trailer air line inspection (if present and applicable)

[ ] Isolation bag visual inspection and pressure check (if applicable)

[ ] Oil reservoir check (if applicable)

Any problems observed in the vehicle inspection must be entered into the vehicle's ogbook. The operator of the vehicle is responsible for communicating the problem to the Chief Engineer or Operations Manager and either taking appropriate corrective action to return the vehicle to a safe operating condition, or halting use of the vehicle until sufficient corrective action has been taken.

Notes:

__________________________________________________________________________

Form UTNHERI.VT2016